

School of Engineering

## CSCI 467: Introduction to Machine Learning (Fall 2021)

Units: Instructor:	4 Mohammad Reza Rajati, PhD PHE 412 rajati@usc.edu – Include CSCI 467 in subject
Office Hours:	TBD
Webpage:	Personal Homepage at Intelligent Decision Analysis
TA(s): Office Hours:	Nripsuta Saxena nsaxena@usc.edu – Include CSCI 467 in subject By appointment, Online
Course Producer(s): Office Hours:	TBD @usc.edu – Include CSCI 467 in subject TBD
Lecture:	Monday, Wednesday, 2:00 pm –3:20 pm, LVL 17 & Online
Discussion 1:	Monday 4:00 pm –4:50 pm, CPA 151
Discussion 2:	Tuesday 4:00 pm –4:50 pm, CPA 207
Webpages:	Piazza Class Page for everything except grades and USC Blackboard Class Page for grades and GitHub for code submission
Prerequisite:	<ul> <li>All HWs, handouts, solutions will be posted in PDF format</li> <li>(CSCI 270 and MATH 225) and 1 from (EE 364 or MATH 407 or BUAD 310).</li> </ul>
Other Requirements:	Computer programming skills. Using Python is mandatory. Students must know Python or must be willing to learn it.
Tentative Grading:	Programming Assignments (Labs) 55% Problem Sets 25% Midterm Exam 10% Final Exam 10% Participation on Piazza* 5%

#### Letter Grade Distribution:

$\geq 93.00$	А	73.00 - 76.99	$\mathbf{C}$
90.00 - 92.99	A-	70.00 - 72.99	C-
87.00 - 89.99	B+	67.00 - 69.99	D+
83.00 - 86.99	В	63.00 - 66.99	D
		60.00 - 62.99	D-
77.00 - 79.99	$\mathbf{C}+$	$\leq 59.99$	$\mathbf{F}$

**Disclaimer:** Although the instructor does not expect this syllabus to drastically change, he reserves every right to change this syllabus any time in the semester.

Note on e-mail vs. Piazza: If you have a question about the material or logistics of the class and wish to ask it electronically, please post it on the piazza page (not e-mail). Often times, if one student has a question/comment, other also have a similar question/comment. Private Piazza posts should be used to contact the professor, TA, graders only for issues that are specific to you individually (e.g., a scheduling issue or grade issue).

**Catalogue Description:** Methods for building intelligent and adaptive systems from statistical analyses; theoretical understanding of such methods and the computational implications. .

**Course Description:** This is an introductory undergraduate course on Machine Learning with a focus on applications. The primary approach of instruction in this course is *Learing by Doing*. The focus of the course is to provide the students with basic understanding of Machine Learning algorithms and to make them use the algorithms to analyze data and convert them into information for decision-making.

Course Objectives: Upon successful completion of this course a student will

- Broadly understand major algorithms used in machine learning.
- Understand supervised and unsupervised learning techniques.
- Understand regression methods.
- Understand resampling methods, including cross-validation and bootstrap.
- Understand decision trees, dimensionality reduction, regularization, clustering, and kernel methods.
- Understand feedforward neural networks and deep learning.

### Exam Dates:

- Midterm Exam: Monday Oct 18, 2:00 PM-3:20 PM.
- Final Exam: Friday, Dec 10, 2:00 PM- 4:00 PM as set by the university.

#### Textbooks:

#### • Required Textbook:

 Gareth James, Daniela Witten, Trevor Hastie, and Robert Tibshirani, An Introduction to Statistical Learning with Applications in R, Springer, 2021. (ISLR) Available at https://web.stanford.edu/~hastie/ISLRv2\_website.pdf

#### • Recommended Textbooks:

- Applied Predictive Modeling, 1<sup>st</sup> Edition Authors: Max Kuhn and Kjell Johnson; Springer; 2016. ISBN-13: 978-1-4614-6848-6
- Machine Learning: A Concise Introduction, 1<sup>st</sup> Edition Author: Steven W. Knox; Wiley; 2018. ISBN-13: 978-1-119-43919-6
- 3. The Elements of Statistical Learning: Data Mining, Inference, and Prediction, 2<sup>nd</sup> Edition

Authors: Trevor Hastie, Robert Tibshirani, and Jerome Friedman; Springer; 2008. (ESL) ISBN-13: 978-0387848570

- Machine Learning: An Algorithmic Perspective, 2<sup>nd</sup> Edition Author: Stephen Marsland; CRC Press; 2014. ISBN-13: 978-1-4614-7137-0
- Deep Learning, 1<sup>st</sup> Edition Authors: Ian Goodfellow, Yoshua Bengio, and Aaron Courville; MIT Press; 2016. (DL) ISBN-13: 978-0262035613
- Neural Networks and Learning Machines, 3<sup>rd</sup> Edition
   Author: Simon Haykin; Pearson; 2008. ISBN-13: 978-0131471399
- Neural Networks and Deep Learning: A Textbook, 1<sup>st</sup> Edition Authors: Charu Aggrawal; Springer; 2018. ISBN-13: 978-3319944623
- Introduction to Machine Learning, 2<sup>nd</sup> Edition
   Author: Ethem Alpaydine; MIT Press; 2010. (AL) ISBN-13: 978-8120350786
- Machine Learning, 1<sup>st</sup> Edition Author: Tom M. Mitchell; McGraw-Hill Education; 1997. ISBN-13: 978-0070428072

#### **Grading Policies:**

- The letter grade distribution table guarantees the *minimum* grade each student will receive based on their final score. When appropriate, relative performance measures will be used to assign the final grade, at the discretion of the instructor.
  - Final grades are non-negotiable and are assigned at the discretion of the instructor. If you cannot accept this condition, you should not enroll in this course.
- Your lowest grade in problem sets and your lowest grade in programming assignments (Labs) will be dropped from the final grade. Lab 0 will not be graded.
- \*Participation on Piazza has up to 5% extra credit, which is granted on a competetive basis at the discretion of the instructor.

## • Homework Policy

- Homework is assigned on an approximately weekly basis. A one-day grace period can be used for each homework with 10% penalty. Absolutely no late homework will be accepted after the grace period. A late assignment results in a zero grade. The only exception is a medical or family emergency.

**Important Note**: If you have emergencies, you should state them the homework deadline, not at the end of the semester.

- Poor internet connection, failing to upload properly, or similar issues are NOT acceptable reasons for late submissions. If you want to make sure that you do not have such problems, submit homework eight hours earlier than the deadline. Please do not ask the instructors to make individual exceptions.
- Homework solutions should be typed or *scanned* using scanners or mobile scanner applications like CamScanner and uploaded (photos taken by cell-phone cameras and in formats other than pdf will NOT be accepted). Programs and simulation results have to be uploaded on github as well.
- Students are encouraged to discuss homework problems with one another, but each student must do their own work and submit individual solutions written/ coded in their own hand. Copying the solutions or submitting identical homework sets is written evidence of cheating. The penalty ranges from F on the homework or exam, to an F in the course, to recommended expulsion.
- Posting the homework assignments and their solutions to online forums or sharing them with other students is strictly prohibited and infringes the copyright of the instructor. Instances will be reported to USC officials as academic dishonesty for disciplinary action.

### • Exam Policy

- Make-up Exams: No make-up exams will be given. If you cannot make the above dates due to a class schedule conflict or personal matter, you must drop the class. In the case of a required business trip or a medical or family emergency, a signed letter from your manager or counselor or physician has to be submitted. This letter must include the contact of your physician or counselor or manager.

**Important Note**: If you have emergencies, you should state them before taking the exam. Taking the exam, waiting for the grade, and then mentioning that you were sick *is not be acceptable* 

- Midterm and final exams will be closed book and notes. Calculators are allowed but computers and cell-phones or any devices that have internet capability are not allowed. One letter size cheat sheet (back and front) is allowed for the midterm. Two letter size cheat sheets (back and front) are allowed for the final.
- All exams are cumulative, with considerable emphasis on material presented since the last exam.

### • Attendance:

 Students are required to attend all the lectures and discussion sessions and actively participate in class discussions. Use of cellphones and laptops is prohibited in the classroom.
 If you need your electronic devices to take notes, you should discuss with the instructor at the beginning of the semester.

## Important Notes:

- Textbooks are secondary to the lecture notes and homework assignments.
- Handouts and course material will be distributed.
- Please use your USC email to register on Piazza and to contact the instructor and TAs.

Monday	WEDNESDAY	
Aug 23rd 1	25th 2	
Introduction to Statistical Learning (ISLR Chs.1,2, ESL Chs.1,2) Motivation: Big Data Supervised vs. Unsupervised Learning	<b>Introduction to Statistical Learning</b> (ISLR Chs.1,2, ESL Chs.1,2) Regression, Classification	
30th 3	Sep 1st 4	
<b>Introduction to Statistical Learning</b> (ISLR Chs.1,2, ESL Chs.1,2) The Regression Function Nearest Neighbors	Introduction to Statistical Learning (ISLR Chs.1,2, ESL Chs.1,2) Model Assessment The Bias-Variance Trade-off No Free Lunch Theorem	
6th	8th 5	
Labor Day	Linear Regression (ISLR Ch.3, ESL Ch. 3) Estimating Coefficients Estimating the Accuracy of Coefficients	
13th 6	15th 7	
Linear Regression (ISLR Ch.3, ESL Ch. 3) Variable Selection and Hypothesis Testing Multiple Regression Analysis of Variance and the F Test	<b>Linear Regression</b> (ISLR Ch.3, ESL Ch. 3) Stepwise Variable Selection Qualitative Variables	
20th 8	22nd 9	
Classification (ISLR Ch. 4, ESL Ch. 4) Multi-class and Multi-label Classification Logistic Regression Class Imbalance Hypothesis Testing and Variable Selection	Classification (ISLR Ch. 4, ESL Ch. 4) Subsampling and Upsampling SMOTE Multinomial Regression	
27th 10	29th 11	
Classification (ISLR Ch. 4, ESL Ch. 4) Bayesian Linear Discriminant Analysis	Classification (ISLR Ch. 4, ESL Ch. 4) Measures for Evaluating Classifiers Quadratic Discriminant Analysis* Comparison with K-Nearest Neighbors The Naïve Bayes' Classifier Text Classification Feature Creation for Text Data Handling Missing Data	

# Tentative Course Outline

Monday	WEDNESDAY
Oct 4th 12	6th <b>13</b>
Resampling Methods (ISLR Ch. 5, ESLCh. 7)Model AssessmentValidation Set ApproachCross-ValidationThe Bias-Variance Trade-off forCross-Validation11th14Linear Model Selection andRegularization (ISLR Ch.6, ESL Ch. 3)	0th       13         Resampling Methods (ISLR Ch. 5, ESL         Ch. 7)         Cross-Validation         The Bootstrap         Bootstrap Confidence Intervals         13th         13th         Linear Model Selection and         Regularization (ISLR Ch.6, ESL Ch. 3)
Subset Selection	Shrinkage Methods
AIC, BIC, and Adjusted $R^2$	Ridge Regression
18th 16 Midterm	20th17Linear Model Selection and17Regularization (ISLR Ch.6, ESL Ch. 3)10The LASSO10Elastic Net10Dimension Reduction Methods*10
25th 18	27th <b>19</b>
<b>Tree-based Methods</b> (ISLR Ch. 8, ESL Chs. 9, 10) Regression and Classification Trees	<b>Tree-based Methods</b> (ISLR Ch. 8, ESL Chs. 9, 10, 16) Bagging, Random Forests, and Boosting*
Nov 1st 20	3rd 21
Support Vector Machines (ISLR Ch. 9, ESL Ch. 12) Maximal Margin Classifier Support Vector Classifiers Support Vector Machines The Kernel Trick L1 Regularized SVMs Multi-class and Multilabel Classification The Vapnik-Chervonenkis Dimension* Support Vector Regression*	Neural Networks and Deep Learning (ISLR Ch. 10, ESL Ch. 11, DL Ch. 6) The Perceptron Feedforward Neural Networks
8th 22	10th 23
Neural Networks and Deep Learning (ISLR Ch. 10, ESL Ch. 11, DL Ch. 6) Feedforward Neural Networks Backpropagation and Gradient Descent Overfitting	Neural Networks and Deep Learning (ISLR Ch. 10, DL Chs. 6, 7) Regularization Early Stopping and Dropout

Monday	WEDNESDAY
15th 24	17th <b>25</b>
Neural Networks and Deep Learning	<b>Unsupervised Learning</b> (ISLR Ch. 12,
(ISLR Ch. 10, DL Chs. 9, 10)	ESL Ch. 14)
Convolutional Neural Networks	K-Means Clustering
	Hierarchical Clustering
22nd 20	24th
Unsupervised Learning (ISLR Ch. 12,	Thanksgiving Break
ESL Ch. 14)	
Practical Issues in Clustering	
29th 2'	Dec 1st 28
Unsupervised Learning (ISLR Ch. 12,	Active and Semi-Supervised Learning
ESL Ch. 14)	Semi-Supervised Learning
Principal Component Analysis <sup>*</sup>	Self-Training
Anomaly Detection*	Co-Training
	Yarowsky Algorithm
	Refinements
	Active vs. Passive Learning
	Stream-Based vs. Pool-Based Active Learning
	Query Selection Strategies

## Notes:

• Items marked by \* will be covered only if time permits.

# Homework Due Dates

Aug 27th         Sep 3rd         Lab 0 Due (not graded)         10th         Lab 1 Due         17th         PS 1 Due         24th         Lab 2 Due         Oct 1st         PS 2 Due         8th	
Lab 0 Due (not graded)         10th         Lab 1 Due         17th         PS 1 Due         24th         Lab 2 Due         Oct 1st         PS 2 Due         8th	
10th         Lab 1 Due         17th         PS 1 Due         24th         Lab 2 Due         Oct 1st         PS 2 Due         8th	Z
Lab 1 Due         17th         PS 1 Due         24th         Lab 2 Due         Oct 1st         PS 2 Due         8th	4
17th <b>PS 1 Due</b> 24th         Lab 2 Due         Oct 1st <b>PS 2 Due</b> 8th	
PS 1 Due 24th Lab 2 Due Oct 1st PS 2 Due 8th	
24th Lab 2 Due Oct 1st PS 2 Due 8th	
Lab 2 Due Oct 1st PS 2 Due 8th	Ę
Oct 1st PS 2 Due 8th	
PS 2 Due 8th	
8th	
PS 3 Due	
15th	8
<b>PS 4 Due on Wednesday Oct 13</b> (No late penalty if you submit on Friday)	
22nd	
Lab 3 Due	
29th	1
Lab 4 Due	
Nov 5th	1
PS 5 Due	
12th	1
Lab 5 Due	
19th	1
PS 6 Due	
26th	1
PS 7 Due	-
Dec 3rd	1
Lab 6 Due	1
Lab 6 Due	

**Statement on Academic Integrity:** USC seeks to maintain an optimal learning environment. General principles of academic honesty include the concept of respect for the intellectual property of others, the expectation that individual work will be submitted unless otherwise allowed by an instructor, and the obligations both to protect one's own academic work from misuse by others as well as to avoid using another's work as one's own. All students are expected to understand and abide by these principles. SCampus, the Student Guidebook, contains the University Student Conduct Code (see University Governance, Section 11.00), while the recommended sanctions are located in Appendix A. See: http://scampus.usc.edu.

**Emergency Preparedness/Course Continuity in a Crisis** In case of a declared emergency if travel to campus is not feasible, USC executive leadership will announce an electronic way for instructors to teach students in their residence halls or homes using a combination of Blackboard, teleconferencing, and other technologies. See the university's site on Campus Safety and Emergency Preparedness: http://preparedness.usc.edu

Statement for Students with Disabilities: Any student requesting academic accommodations based on a disability is required to register with Disability Services and Programs (DSP) each semester. A letter of verification for approved accommodations can be obtained from DSP. Please be sure the letter is delivered to me (or to TA) as early in the semester as possible. DSP is located in STU 301 and is open 8:30 a.m.-5:00 p.m., Monday through Friday. Website: http://sait.usc.edu/academicsupport/centerprograms/dsp/home\_index.html

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