

**Units:** 4

**Instructor:** Mohammad Reza Rajati, PhD  
PHE 412

[rajati@usc.edu](mailto:rajati@usc.edu) – Include DSCI 552 in subject

**Office Hours:** By Appointment, ONLINE

**TA(s):** TBD

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**Office Hours:** TBD

**Grader(s):** TBD

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TBD

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**Lecture 1:** Tuesday, Thursday, 12:00 pm –1:50 pm ONLINE

**Lecture 2:** Monday, Wednesday, 2:00 pm –3:50 pm ONLINE

**Webpages:** [Piazza Class Page](#) for everything except grades  
and [USC Blackboard Class Page](#) for grades  
and [GitHub](#) for code submission

– All HWs, handouts, solutions will be posted in PDF format

– *Student has the responsibility to stay current with webpage material*

**Prerequisite:** Prior courses in multivariate calculus, linear algebra, probability, and statistics.

– This course is a prerequisite to INF 558.

**Other Requirements:** Computer programming skills.

Using Python is mandatory.

Students must know Python or must be willing to learn it.

**Tentative Grading:** Assignments 50%

Midterm Exam 20%

Final Exam 30%

Participation on Piazza\* 5%

**Letter Grade Distribution:**

$\geq 93.00$	A	73.00 - 76.99	C
90.00 - 92.99	A-	70.00 - 72.99	C-
87.00 - 89.99	B+	67.00 - 69.99	D+
83.00 - 86.99	B	63.00 - 66.99	D
80.00 - 82.99	B-	60.00 - 62.99	D-
77.00 - 79.99	C+	$\leq 59.99$	F

**Disclaimer:** Although the instructor does not expect this syllabus to drastically change, he reserves every right to change this syllabus any time in the semester.

**Note on e-mail vs. Piazza:** If you have a question about the material or logistics of the class and wish to ask it electronically, please post it on the piazza page (not e-mail). You may post it anonymously if you wish. Often times, if one student has a question/comment, other also have a similar question/comment. Use e-mail with the professor, TA, graders only for issues that are specific to you individually (e.g., a scheduling issue or grade issue).

**Catalogue Description:** Practical applications of machine learning techniques to real-world problems. Uses in data mining and recommendation systems and for building adaptive user interfaces.

**Course Description:** This is a foundational course with the primary application to data analytics, but is intended to be accessible both to students from technical backgrounds such as computer science, computer engineering, electrical engineering, or mathematics; and to students from less technical backgrounds such as business administration, communication, accounting, various medical specializations including preventative medicine and personalized medicine, genomics, and management information systems. A basic understanding of engineering and/or technology principles is needed, as well as basic programming skills, sufficient mathematical background in probability, statistics, and linear algebra.

**Course Objectives:** Upon successful completion of this course a student will

- Broadly understand major algorithms used in machine learning.
- Understand supervised and unsupervised learning techniques.
- Understand regression methods.
- Understand resampling methods, including cross-validation and bootstrap.
- Understand decision trees, dimensionality reduction, regularization, clustering, and kernel methods.
- Understand hidden Markov models and graphical models.
- Understand feedforward and recurrent neural networks and deep learning.

**Exam Dates:** (the exact time of the exam will be determined after we realize students participate from what parts of the world)

- **Midterm Exam:** Tuesday Oct 13, 12-1:50 PM
- **Final Exam:** Tuesday Dec 8, 11:00 AM- 1:00 PM
- **Very Important Note:** The MW section of this course will have the same midterm and final exam dates. If you are not able to take the exam on those dates, you should not take this course.

### Textbooks:

- **Required Textbook:**

1. Gareth James, Daniela Witten, Trevor Hastie, and Robert Tibshirani, *An Introduction to Statistical Learning with Applications in R*, Springer, 2013. (ISLR)  
Available at <http://faculty.marshall.usc.edu/gareth-james/ISL/ISLR%20Seventh%20Printing.pdf>

- **Recommended Textbooks:**

1. *Applied Predictive Modeling*, 1<sup>st</sup> Edition  
**Authors:** Max Kuhn and Kjell Johnson; Springer; 2016. **ISBN-13:** 978-1-4614-6848-6
2. *Machine Learning: A Concise Introduction*, 1<sup>st</sup> Edition  
**Author:** Steven W. Knox; Wiley; 2018. **ISBN-13:** 978-1-119-43919-6
3. *The Elements of Statistical Learning: Data Mining, Inference, and Prediction*, 2<sup>nd</sup> Edition  
**Authors:** Trevor Hastie, Robert Tibshirani, and Jerome Friedman; Springer; 2008. (ESL) **ISBN-13:** 978-0387848570
4. *Machine Learning: An Algorithmic Perspective*, 2<sup>nd</sup> Edition  
**Author:** Stephen Marsland; CRC Press; 2014. **ISBN-13:** 978-1-4614-7137-0
5. *Deep Learning*, 1<sup>st</sup> Edition  
**Authors:** Ian Goodfellow, Yoshua Bengio, and Aaron Courville; MIT Press; 2016. (DL) **ISBN-13:** 978-0262035613
6. *Neural Networks and Learning Machines*, 3<sup>rd</sup> Edition  
**Author:** Simon Haykin; Pearson; 2008. **ISBN-13:** 978-0131471399
7. *Neural Networks and Deep Learning: A Textbook*, 1<sup>st</sup> Edition  
**Authors:** Charu Aggrawal; Springer; 2018. **ISBN-13:** 978-3319944623
8. *Introduction to Machine Learning*, 2<sup>nd</sup> Edition  
**Author:** Ethem Alpaydine; MIT Press; 2010. (AL) **ISBN-13:** 978-8120350786
9. *Machine Learning*, 1<sup>st</sup> Edition  
**Authors:** Tom M. Mitchell; McGraw-Hill Education; 1997. **ISBN-13:** 978-0070428072

## Grading Policies:

- The letter grade distribution table guarantees the *minimum* grade each student will receive based on their final score. When appropriate, relative performance measures will be used to assign the final grade, at the discretion of the instructor.
  - Final grades are non-negotiable and are assigned at the discretion of the instructor. If you cannot accept this condition, you should not enroll in this course.
- Your lowest homework grade will be dropped from the final grade.
- Homework 0 will not be graded.
- \*Participation on Piazza has up to 5% extra credit, which is granted on a competitive basis *at the discretion of the instructor*.

## • Homework Policy

- Homework is assigned on an approximately biweekly basis. Homework due dates are mentioned in the course outline, so mark your calendars. A one-day grace period can be used for each homework with 10% penalty. *Absolutely no late homework will be accepted after the grace period. A late assignment results in a zero grade.*
- Poor internet connection, failing to upload properly, or similar issues are NOT acceptable reasons for late submissions. If you want to make sure that you do not have such problems, submit homework eight hours earlier than the deadline. Please do not ask the instructor to make individual exceptions.
- Homework solutions and simulation results should be typed or *scanned* using scanners or mobile scanner applications like CamScan and uploaded (photos taken by cell-phone cameras and in formats other than pdf will NOT be accepted). Programs and simulation results have to be uploaded on GitHub as well.
- Students are encouraged to discuss homework problems with one another, but each student must do their own work and submit individual solutions written/ coded in their own hand. Copying the solutions or submitting identical homework sets is written evidence of cheating. The penalty ranges from F on the homework or exam, to an F in the course, to recommended expulsion.
- Posting the homework assignments and their solutions to online forums or sharing them with other students is strictly prohibited and infringes the copyright of the instructor. Instances will be reported to USC officials as academic dishonesty for disciplinary action.

## • Exam Policy

- **Make-up Exams:** No make-up exams will be given. If you cannot make the above dates due to a class schedule conflict or personal matter, you must drop the class. In the case of a required business trip or a medical emergency, a signed letter from your manager or physician has to be submitted. This letter must include the contact of your physician or manager.
- Midterm and final exams will be closed book and notes. Calculators are allowed but computers and cell-phones or using any devices that have internet capability are not allowed, except for writing the solutions or being proctored are not allowed. One letter

size cheat sheet (back and front) is allowed for the midterm. Two letter size cheat sheets (back and front) are allowed for the final.

– All exams are cumulative, with an emphasis on material presented since the last exam.

• **Attendance:**

– Students are required to attend all the lectures and discussion sessions and actively participate in class discussions. Use of cellphones and laptops is prohibited in the classroom. If you need your electronic devices to take notes, you should discuss with the instructor at the beginning of the semester.

**Important Notes:**

- Textbooks are secondary to the lecture notes and homework assignments.
- Handouts and course material will be distributed.
- Please use your USC email to register on Piazza and to contact the instructor and TAs.

TUESDAY		THURSDAY	
Aug 25th	1	27th	2
<b>Introduction to Statistical Learning</b> (ISLR Chs.1,2, ESL Chs.1,2) Motivation: Big Data Supervised vs. Unsupervised Learning		<b>Introduction to Statistical Learning</b> (ISLR Chs.1,2, ESL Chs.1,2) Regression, Classification The Regression Function Nearest Neighbors	
Sep 1st	3	3rd	4
<b>Introduction to Statistical Learning</b> (ISLR Chs.1,2, ESL Chs.1,2) Model Assessment The Bias-Variance Trade-off No Free Lunch Theorem		<b>Linear Regression</b> (ISLR Ch.3, ESL Ch. 3) Estimating Coefficients Estimating the Accuracy of Coefficients	
8th	5	10th	6
<b>Linear Regression</b> (ISLR Ch.3, ESL Ch. 3) Variable Selection and Hypothesis Testing Multiple Regression Analysis of Variance and the F Test		<b>Linear Regression</b> (ISLR Ch.3, ESL Ch. 3) Stepwise Variable Selection Qualitative Variables <b>Homework 0 Due</b>	
15th	7	17th	8
<b>Classification</b> (ISLR Ch. 4, ESL Ch. 4) Multi-class and Multi-label Classification Logistic Regression Class Imbalance Hypothesis Testing and Variable Selection		<b>Classification</b> (ISLR Ch. 4, ESL Ch. 4) Subsampling and Upsampling SMOTE Multinomial Regression Bayesian Linear Discriminant Analysis <b>Homework 1 Due</b>	
22nd	9	24th	10
<b>Classification</b> (ISLR Ch. 4, ESL Ch. 4) Measures for Evaluating Classifiers Quadratic Discriminant Analysis* Comparison with K-Nearest Neighbors The Naïve Bayes' Classifier Text Classification Feature Creation for Text Data Handling Missing Data		<b>Resampling Methods</b> (ISLR Ch. 5, ESL Ch. 7) Model Assessment Validation Set Approach Cross-Validation The Bias-Variance Trade-off for Cross-Validation The Bootstrap Bootstrap Confidence Intervals <b>Homework 2 Due</b>	
29th	11	Oct 1st	12
<b>Linear Model Selection and Regularization</b> (ISLR Ch.6, ESL Ch. 3) Subset Selection AIC, BIC, and Adjusted $R^2$ ) Shrinkage Methods Ridge Regression		<b>Linear Model Selection and Regularization</b> (ISLR Ch.6, ESL Ch. 3) The LASSO Elastic Net Dimension Reduction Methods*	

TUESDAY		THURSDAY	
6th	13	8th	14
<b>Tree-based Methods</b> (ISLR Ch. 8, ESL Chs. 9, 10) Regression and Classification Trees Cost Complexity Pruning		<b>Tree-based Methods</b> (ISLR Ch. 8, ESL Chs. 9, 10, 16) Bagging, Random Forests, and Boosting <b>Homework 3 Due</b>	
13th	15	15th	16
<b>Midterm</b>		<b>Support Vector Machines</b> (ISLR Ch. 9, ESL Ch. 12) Maximal Margin Classifier Support Vector Classifiers	
20th	17	22nd	18
<b>Support Vector Machines</b> (ISLR Ch. 9, ESL Ch. 12) The Kernel Trick Support Vector Machines L1 Regularized SVMs Multi-class and Multilabel Classification The Vapnik-Chervonenkis Dimension* Support Vector Regression		<b>Unsupervised Learning</b> (ISLR Ch. 10, ESL Ch. 14) K-Means Clustering Hierarchical Clustering <b>Homework 4 Due</b>	
27th	19	29th	20
<b>Unsupervised Learning</b> (ISLR Ch. 10, ESL Ch. 14) Practical Issues in Clustering		<b>Unsupervised Learning</b> (ISLR Ch. 10, ESL Ch. 14) Principal Component Analysis Anomaly Detection* Association Rules* Mixture Models and Soft K-Means*	
Nov 3rd	21	5th	22
<b>Active and Semi-Supervised Learning</b> Semi-Supervised Learning Self-Training Co-Training Yarowsky Algorithm Refinements Active vs. Passive Learning Stream-Based vs. Pool-Based Active Learning Query Selection Strategies		<b>Neural Networks and Deep Learning</b> (ESL Ch. 11, DL Ch. 6) The Perceptron Feedforward Neural Networks Backpropagation and Gradient Descent Overfitting <b>Homework 5 Due</b>	
10th	23	12th	24
<b>Neural Networks and Deep Learning</b> (DL Chs. 6, 7) Autoencoders and Deep Feedforward Neural Networks Regularization Early Stopping and Dropout Adversarial Training*		<b>Neural Networks and Deep Learning</b> (DL Chs. 9, 10) Convolutional Neural Networks Sequence Modeling Recurrent Neural Networks <b>Homework 6 Due</b>	

TUESDAY		THURSDAY	
17th	25	19th	26
<b>Neural Networks and Deep Learning</b> (DL Ch. 10) Sequence-to-Sequence Modeling* Long Short Term Memory (LSTM) Neural Networks		<b>Hidden Markov Models (AL Ch. 15)</b> Principles The Viterbi Algorithm	
24th	27	26th	28
<b>Reinforcement Learning*</b> Definitions Task-Reward-Policy Formulation Total Discounted Future Reward Optimal Policy Value Function Q-Function The Bellman Equation Q-Learning Exploration- Exploitation Temporal Difference Learning Extensions to Stochastic Environments and Rewards Deep Reinforcement Learning		Thanksgiving <b>Fuzzy Systems*</b> Fuzzy Sets Set Operations T-norms, T-conorms, and Fuzzy complements Cylindrical Extensions and Fuzzy Relations Fuzzy If-Then Rules as Association Rules Inference from Fuzzy Rules Fuzzification and Defuzzification Learning Fuzzy Rules from Examples The Wang-Mendel Algorithm Fuzzy C-Means Clustering <b>Homework 7 Due</b>	

**Notes:**

- Items marked by \* will be covered only if time permits.

**Statement on Academic Integrity:** USC seeks to maintain an optimal learning environment. General principles of academic honesty include the concept of respect for the intellectual property of others, the expectation that individual work will be submitted unless otherwise allowed by an instructor, and the obligations both to protect one's own academic work from misuse by others as well as to avoid using another's work as one's own. All students are expected to understand and abide by these principles. SCampus, the Student Guidebook, contains the University Student Conduct Code (see University Governance, Section 11.00), while the recommended sanctions are located in Appendix A. See: <http://scampus.usc.edu>.

**Emergency Preparedness/Course Continuity in a Crisis** In case of a declared emergency if travel to campus is not feasible, USC executive leadership will announce an electronic way for instructors to teach students in their residence halls or homes using a combination of Blackboard, teleconferencing, and other technologies. See the university's site on Campus Safety and Emergency Preparedness: <http://preparedness.usc.edu>

**Statement for Students with Disabilities:** Any student requesting academic accommodations based on a disability is required to register with Disability Services and Programs (DSP) each semester. A letter of verification for approved accommodations can be obtained from DSP. Please



be sure the letter is delivered to me (or to TA) as early in the semester as possible. DSP is located in STU 301 and is open 8:30 a.m.–5:00 p.m., Monday through Friday. Website: [http://sait.usc.edu/academicsupport/centerprograms/dsp/home\\_index.html](http://sait.usc.edu/academicsupport/centerprograms/dsp/home_index.html)

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