

PPD 615: Comparative Urbanization, Development & Inequality

Fall 2012

Instructor: Dr. Deike Peters (d.peters@usc.edu)

Time and Classroom: Mondays 9:00am-12:20pm, RGL 103

Office hours: By appointment, ideally right after class, RGL 107A

COURSE OVERVIEW

We now live in a world where a majority of people on this planet lives in cities. The ongoing processes of urbanization and globalization have profound impacts on the way towns, cities and regions across the globe develop, resulting in vastly differing spatial patterns, income distributions, demographic make-ups, socio-political and economic structures from place to place. This graduate seminar will introduce students to many different urban settings across the globe, and help them understand economic development, urbanization and globalization as deeply interrelated processes that produce complex patterns of socioeconomic, political and spatial inequality across different regions in the world. The multiple dimensions of inter- and intra urban inequality form the major, recurring theme in this class. The seminar focuses mainly, but not exclusively, on developing economies in the so-called Global South. While developed countries in North America and Europe are facing urban restructuring processes that include both de- and re-densification, along with deindustrialization and even population loss and shrinkage, most of the world's future population growth is occurring in low- and middle income countries in the so-called Global South, i.e. in places with high levels of urban poverty and inequality where decision-makers are frequently ill-equipped to provide appropriate housing, basic services and infrastructure.

The seminar is organized in three main segments. The first segment, entitled "Theoretical Foundations of Development, Urbanization, Inequality & Urban (In-)Justice" introduces the seminar's key themes, discourses and actors in the arena of comparative international urban development and builds up students' theoretical foundations about the concepts of (urban) "development" and "(in)equality" (including their spatial, socio-economic and environmental dimensions). Segment two, entitled "Comparative Urbanization: A Global Inquiry into Urban Inequality" presents a critical and nuanced inquiry into the facts, facets and "fictions" (discourses) of contemporary urbanization. It includes sessions on the persistent challenge of slum settlements (mostly in the South), on gated communities and urban mega-projects (world-wide), on shrinking urban regions (mostly in the North) and on rapidly urbanizing environments (esp. in China). The last segment, entitled "Global/Local Policy Responses", highlights particular regions, cities or neighborhoods where a particular form of urban inequality or injustice has been successfully confronted either by policy-making "from above", by grass-roots advocacy "from below", or by a combination thereof. The seminar combines lectures, extensive readings, in-class discussions and group work, as well as original contributions by students.

SYLLABUS REVISION

The instructor will regularly assess progress and solicit student feedback regarding the course. If necessary the syllabus will be revised to make it more suitable.

LEARNING OBJECTIVES

This graduate seminar is aimed at developing an understanding of the evidence, the theories as well as the policy and advocacy options related to problems of (sustainable) development, inequality and injustice in contemporary contexts of economic globalization and urbanization. We will take a truly international look at urbanization and urban development. Key learning objectives are to

- Enhance students' knowledge of the global dimensions of planning and policy-making
- Develop an appreciation and understanding of specific urban experiences outside the North American realm, particularly in less developed middle and lower income countries
- Encourage students to think critically about the interrelated nature of economic globalization, (urban) economic development, urbanization and inequality
- Broaden their understanding of the basic nature of urban development & urbanization
- Situate present patterns of uneven and unbalanced urban growth within a historical context of development as well as within contexts of social justice and environmental sustainability
- Review basic development concepts such as (de-)industrialization, modernization, dependency, post-colonialism, and neo-liberalism within various urban contexts across different continents
- Develop a deeper understanding of the complex patterns of convergence and divergence among different cities in the world
- Become familiar with a number of specific global and/or globalizing cities around the world
- Expose students to the substantial, fast growing, international literature on urban justice
- Learn to think critically and systematically about the what it means to 'compare' cities

A NOTE ON THE READINGS:

While this is a reading-intensive course, please don't get too intimidated by the long list of readings listed on syllabus below. During a quick preview of the assignments for each following week, I will provide some context and indicate which portions of the readings you should focus on most. My goal in assembling these readings was to give you maximum exposure to the great variety of scholarly writings on comparative urbanization. The readings for this class pretty much all fall into one of four categories: 1) important monographs by premier urban theorists – i.e. material you would (hopefully) be exposed to in any advanced urban theory class; 2) a growing number of quality edited volumes that compare various cities across the globe under a common theme (e.g. environmental sustainability/justice, regional development, economic globalization, neoliberalism); 3) scholarly articles from major international journals; and 4) policy documents from major international institutions (UN, World Bank). With regard to the edited books and monographs, we will only have time to read the introductory chapters of most of these volumes, thus leaving a wealth of in-depth city case studies and theory-building as prime material for you to explore for your individual paper topics. As for individual scholarly contributions with a focus on comparing cities (and on developing appropriate categories and theories for comparison), the respective articles are most likely to come from journals such as the *International Journal of Urban and Regional Research* (IJURR), *Environment & Urbanization*, *CITY*, *Urban Studies* and a handful of others. Regarding policy documents such as UN Habitat's *Global Reports on Human Settlements* & the *State of the World's Cities Reports* or the *World Bank Urban Strategy*, these will be both read for their informative content and in order to be contextualized within the larger policy context in which they are produced.

COURSE MATERIALS:

All required course materials will be made available by the instructor, either on Blackboard, as general access online sources/links and/or as e-books via the USC library system. Below is a summary list of the main books and edited volumes we will be drawing from in this class (listed in alphabetical order), apart from individual articles from academic journals and sections from international policy documents.

- Agyeman, J. Bullard, R. and Evans, B. (2003) Just Sustainabilities: Development in an Unequal World. [e-Book <http://library.usc.edu/uhtbin/cgisirsi/13LlimjXSR/DOHENY/28070286/88>].
- Beall, Jo and Fox, Sean (2009) Cities and Development. Routledge Perspectives on Development Series. London and New York: Routledge [basic intro text for undergrads]
- Bell, David and Jayne, Mark (Eds.) 2006 Small Cities – Urban Experience Beyond the Metropolis” New York and London: Routledge
- Brenner, Neil & Keil, Roger (Eds.) (2006) The Global Cities Reader. London and New York: Routledge
- Davis, Mike (2006) Planet of Slums. New York: Verso
- Fainstein, Susan. (2010) The Just City. Ithaca: Cornell University Press
- Graham, Stephen and Marvin, Simon. (2001) Splintering Urbanism. London: Routledge
- Graham, Stephen (2010) Disrupted Cities: When Infrastructure Fails. New York: Routledge
- Gugler, Josef (Ed.) (2004) World Cities Beyond the West. Globalization, Development & inequality. New Haven: University of Connecticut.
- Marcuse, Peter and van Kempen, Ronald (Eds.) (2000) Globalizing Cities: A New Spatial Order? London: Wiley-Blackwell
- Martine, George, et al. (2008) The New Global Frontier. Urbanization, Poverty and Environment in the 21st Century [e-Book <http://library.usc.edu/uhtbin/cgisirsi/GOntV9CbUT/DOHENY/28070286/9>]
- Marcuse, Peter et al. (Eds.) (2009) Searching for the Just City. New York: Routledge
- Newman, Peter and Thornley, Andy (2004) Planning World Cities. Globalization and Urban Politics. Basingstoke: Palgrave-MacMillan.
- Peet, Richard and Hartwick, Elaine (2009) Theories of Development. New York: Guilford Press
- Richardson, H. and Bae, Chang-Hee (Eds.) (2005) Globalization and Urban Development. London: Springer [e-book]
- Roberts, Timmons and Bellone Hite, Amy (Eds.) (2007) The Globalization and Development Reader. Oxford: Blackwell
- Savitch, Hank & Kantor, P. (2002) Cities in the International Marketplace. The Political Economy of Urban Development in North America and Western Europe. Princeton: Princeton University Press
- Sen, A. (1999) Development as Freedom [e-Book]
- Sorensen, Andre & Okata, Juni. (Eds.) 2011 Megacities. Urban Form, Governance & Sustainability. NY: Springer [E-book <http://library.usc.edu/uhtbin/cgisirsi/2XnyR8lrwX/DOHENY/28070286/9>]
- UN Habitat. 2009 Global Report on Human Settlements: Planning Sustainable Cities [online]
- UN Habitat. 2003 Global Report on Human Settlements: The Challenge of Slums [online]
- [For the last three, see <http://www.unhabitat.org/categories.asp?catid=555>]

COURSE FORMAT

The course format will be a combination of lectures, in-class audiovisual materials, student presentations and class discussions. Student participation is expected throughout the class. Students are expected to complete all required reading prior to class meetings and to discuss the texts during each session. Attendance at all class meetings is mandatory. Multiple absences will affect students' grades. Extenuating circumstances need to be discussed with the instructor, ideally in advance.

ASSIGNMENTS/REQUIREMENTS

Research Paper: Students will write ONE individual original research paper about a class-related topic of their own choosing. The total length of the paper is expected to be between 3000 and 3500 words (12-14 pages of double-spaced text with one-inch page margins, not counting bibliography, illustrations, and tables). This paper must draw from, and apply, concepts and themes discussed in class. Suitable topics will be selected on an individual basis. All students must submit an abstract and an outline of their paper by 10/15/2012. They will present a first draft during the last class meeting on 12/3/2012. Students will hand in their drafts and receive written feedback from the instructor by 12/7/2012. Final papers are due at the end of the official final exam time for this class at 1pm on 12/16/2012. Papers have to be emailed to d.peters@usc.edu as one single Word (preferred) or Pdf document that is no larger than 8Mb.

Reading Response: Students have to post a total of TWO written "reading responses" during two separate occasions throughout the class on Blackboard. This response should be a critical, substantive engagement with one or more of the texts assigned for one particular week of class. The reading responses have to be posted on Blackboard at least 24hrs before that class. Responses should be between 500 and 1000 words in length.

Facilitation of Class Discussion: Each week, one or more students will take on the role of 'class discussion facilitator'. Each student will help facilitate TWO class discussions. The week's designated students will be expected to join the instructor in initiating and leading a portion of class discussion. They are expected to prepare questions for discussion, potentially develop small group work sessions, and/or provide additional class materials from online sources (such as short youtube videos, e.g. TED clips, pictures, press articles etc.). Facilitators will convene with the instructor, either via email or conference call, each Friday before class to coordinate inputs.

GRADING

A late assignment penalty in the form of a 10% grade reduction will be assessed for every 12-hour period beyond a given deadline, with exceptions granted only for documented medical & family emergencies.

Grades will be weighted according to the following distribution:

First Written Reading Response: 15%

Second Written Reading Response: 15%

First Class Discussion Facilitation: 10%

Second Class Discussion Facilitation: 10%

Participation, including attendance: 10%

Research Paper: 40%

COURSE SCHEDULE OF CLASSES

Theoretical Foundations of Urbanization, Development, Inequality & Urban (In-)Justice

Class 1: 8/28 Introduction to an Urban World and “The State of the World’s Cities”

Beall, Jo and Fox, Sean. (2009) Cities and Development. Ch.1 “Development in the First Urban Century”, 1-35.

Martine et al. (2008) The New Global Frontier. Introduction p.1-13 [e-book]

UN Habitat State of the World’s Cities Report 2010-11. Introduction (to page XIX). [online]

UN Habitat Fact Sheets (2x2 pages) “Urbanization Trends” and “City Size and Spatial Form” at <http://www.unhabitat.org/documents/GRHS09/FS1.pdf> and .../FS2.pdf, respectively

--- 9/3: NO CLASS (LABOR DAY) ---

Class 2: 9/10 “(Under-)Development”, “Inequality” “Modernization” “Globalization”

Roberts, Timmons and Bellone Hite, Amy (Eds.) (2007) The Globalization and Development Reader. Editors introduction “Development and Globalization: Recurring Themes” p.1-14, and original readings by Rostow, (p. 47-56), Frank (p. 76-74), Sachs (p. 356-359).

Peet and Hartwick, Theories of Development. Ch.1, Ch. 3 & p.126-40, p.143-86, p. 252-72

Sen, Amartya (1999) Development as Freedom [e-Book] Intro/Ch.1

Also recommended:

Sen, Amartya. The Standard of Living.

Class 3: 9/17 “Just Cities”, “Just Sustainabilities” and “The Right to the City”

Marcuse, Peter et al. (Eds.) (2009) Searching for the Just City. 275 pages. Individual Selections.

Fainstein, Susan. (2010) The Just City. Introduction only.

Soja, Ed (2010). Seeking Spatial Justice. Introduction.

Agyeman, J. Bullard, R. and Evans, B. (2003) Just Sustainabilities: Development in an Unequal World. [e-Book <http://library.usc.edu/uhtbin/cgiisr/13LLimjXSR/DOHENY/28070286/88>].

Introduction, pp. 1-16

Harvey, D. (2008) The Right to the City. In: New Left Review, 53:28-40 [BB]

Harvey, D. (2012) Rebel Cities. Introduction/excerpts. [BB]

Comparative Urbanization: A Global Inquiry into Urban Inequality

Classes 4 & 5: 9/24 to 10/1

Urban Hierarchies? Global/World Cities, Megacities & Mega-Regions, Second Tier Cities, Small Cities, Ordinary Cities

Marcuse, Peter & van Kempen, Ronald (Eds.) (2000) Globalizing Cities – A New Spatial Order? Intro & Conclusion (p.xvii-21, 249-275)

Newman, Peter & Thornley, Andy (2005) Planning World Cities. Globalization and Urban Politics. Chapter 1&2 (p.1-31)

Brenner, Neil and Keil, Roger (Eds.) (2006) The Global Cities Reader. London and New York: Routledge. Editors’ Introduction & Introduction to Part I (p.1-22)

Friedmann, John (1989) The World City Hypothesis. In: Brenner & Keil, (p. 67-72)

Marcuse, Peter (2006) “Space in the Globalizing City.” In: Brenner & Keil, (p. 361-369)

Gugler, Josef. (Ed.) (2004) World Cities Beyond the West. “Introduction.” (p. 1-26)

Simon, David “The World City Hypothesis: Reflections from the Periphery” in: Brenner & Keil, p. 203-209

Shatkin, Gavin “‘Fourth World’ Cities in the Global Economy” The Case of Phnom Penh, Cambodia” in: Brenner & Keil, p. 210-216

Robinson, J. (2002) “Global and World Cities: A View From Off the Map” in: Brenner & Keil, *The Global Cities Reader* p. 217-223 [short version] originally in: *International Journal of Urban and Regional Research*, 26:1, pp. 531-54 [both available on BB]

Sorensen, A. and Okata, J. (2011) Introduction to “Megacities” p. 1-10

Markusen, A. (Ed.) (1999) *Second Tier Cities: Rapid Growth Beyond the Metropolis*. pp. 1-19, See http://books.google.com/books?id=2FF2nnFA77UC&pg=PR5&source=gbs_selected_pages&cad=3#v=onepage&q&f=false

Bell, David and Jayne, Mark (Eds.) 2006 *Small Cities – Urban Experience Beyond the Metropolis*” New York and London: Routledge. Ch 1. “Conceptualizing Small Cities”, p. 1-15 online at http://books.google.com/books?id=1DUU_HEO9gQC&printsec=frontcover#v=onepage&q&f=false

Video: Chongqing , the World’s Largest Megacity at <http://www.factualtv.com/documentary/Chongqing-The-Worlds-Largest-City>

Class 6: 10/8 A Globally Comparative Look at Urban Growth, Decline & Shrinkage

UN Habitat (2009) 2009 Global Report on Human Settlements: Planning for Sustainable Cities. Ch. 2 “Understanding the Diversity of Urban Contexts” [online and on BB]

Savitch, Hank & Kantor, P. (2002) *Cities in the International Marketplace. The Political Economy of Urban Development in North America and Western Europe*, Ch. 1 (p.1-28)

Newman & Thornley (2005) Ch.10 “Pacific Asia: Economic Interdependence & the Developmental State”, in: Newman and Thornley, *Planning World Cities*.

Bai, X. (2008) The Urban Transition in China. In: Martine et al. Ch. 20 [E-book]

Rodriguez and Martine (2008) Urbanization Trends in Latin America and the Caribbean. In: Martine et al. Ch. 21 [e-Book]

White, M. Mberu & Collison (2008) African Urbanization: Recent Trends & Implications. In: Martine et al. Ch 18 [e-Book]

Rakodi, C. & Nkurunziza, E. (2007) Globalization & Urban Centres in Africa. UN-HABITAT

Martinez-Fernandez et al (2012) “Shrinking Cities: Urban Challenges of Globalization” In: *International Journal of Urban and Regional Research*.36:1, pp. 213-25.

Class 7: 10/15 The Tricky Business of Urban Comparisons

--- Research Paper Abstract and Outline Due! ---

[Allocate class time after break today for discussion and feedback on research papers]

Robinson, J. (2011) Cities in a World of Cities: The Comparative Gesture. In: *International Journal of Urban and Regional Research*. 35:1 pp. 1-23

Soja, Ed. (2000) The Stimulus of a Little Confusion: A Contemporary Comparison of Amsterdam and Los Angeles. In: Brenner and Keil, pp. 179-186.

Recommended for further reading but not required:

McFarlane, Colin (2010) “The Competitive City: Knowledge, Learning, Urbanism.” In: *International Journal of Urban and Regional Research*. 34:4, pp. 725-42.

Beauregard, R.A. (2003) City of superlatives. *City and Community* 2.3, 183–99.

Brenner, N. (2003) Stereotypes, archetypes, and prototypes: three uses of superlatives in contemporary urban studies. *City and Community* 2.3, 205–16.

Dear, M. (2003) Response to Beauregard — superlative urbanisms: the necessity for rhetoric in social theory. *City and Community* 2.3, 201–4.

Class 8: 10/22 A “Planet of Slums”?

Davis, M. (2006) *Planet of Slums*, Ch. 1-2, additional selections TBD, Conclusion

UN Habitat Global Report on Human Settlements 2003: The Challenge of Slums [online pdf]

“In One Slum, Misery, Work, Politics and Hope” December 28, 2011 New York Times article on the Dharavi slum in Mumbai, India. [BB]

Roy, Ananya (2011) *Slumdog Cities: Rethinking Subaltern Urbanism*. In: *International Journal of Urban and Regional Research*. 35:1 p. 223-238

UN Habitat, *State of the World’s Cities Report*, Section 1.3 on Slums, pp. 30-49

Additional materials:

Urban Age materials on Mumbai at <http://www.urban-age.net/>

Video: Slum Futures. (Mumbai) Life Series 4, (23min) see

<http://www.tve.org/lifeonline/index.cfm?aid=1637>

Video “Favela Rising” <http://www.favelarising.com> (80min)

Video “My Mother Built this House,” City Life Series (27 min)

Class 9: 10/29 A World of “Gated Communities”, Luxury Towers and Wealthy Enclaves?

Pow Choon Piew 2009 *Gated Communities in China*. London and New York Routledge. Ch1 at

<http://www.amazon.com/Gated-Communities-China-Privilege-Geographies/dp/0415478103>

Morange, M, Folio, F. Peyroux, E. and Vivet, J. (2012) The Spread of a Transnational Model:

‘Gated Communities’ in Three Southern African Cities (Cape Town, Maputo and Windhoek). In: *International Journal of Urban and Regional Research*

Davis, Mike (2007) “Sand, Fear, and Money in Dubai” In: Davis & Monk *Evil Paradises: Dreamworlds of Neoliberalism*. New York: The New Press [BB]

Class 10: 11/5 Unequal Infrastructures & Urban Mega-Projects - “Splintering Urbanism”

Graham, Stephen and Marvin, Simon. *Splintering Urbanism*. Introduction, p. 1-33, selections

McFarlane, Colin (2010) *Infrastructure, Interruption, and Inequality: Urban Life in the Global South*. In: Graham, S. *Disrupted Cities: When Infrastructure Fails*. London: Routledge. p.131-144

Jenks, Mike, (2005) “Above and Below the Line: Globalization and urban form in Bangkok.” In: Richardson, H. and Bae, Chang-Hee (Eds.) *Globalization and Urban Development*. London: Springer (Article on the Bangkok Sky Train) [USC e-book]

Newman & Thornley (2005) Ch. 12 “Hong Kong, Shanghai & Singapore: A Battle for Regional Dominance – Mega-Projects and State-Led Visions”, (p.247-254)

Global/Local Policy Responses to Confront Urban Inequality

Class 11: 11/12 Confronting Urban Inequality From Above: World Bank, UN Habitat, Cities Alliance

The World Bank Urban and Local Government Strategy at www.wburbanstrategy.org and <http://www.wburbanstrategy.org/urbanstrategy/sites/wburbanstrategy.org/files/FEUUR%200901%20for%20web%201029.pdf>

UN Habitat “State of the World Cities 2010/2011” Report, Part 3: “Taking forward the Right to the City” 122ff.

Explore the Cities Alliance Website at: <http://www.citiesalliance.org>

Not required but you may also want to consider:

OECD (2012) OECD Green Growth Studies: Compact City Policies – A Comparative Assessment. Online at: http://www.keepeek.com/Digital-Asset-Management/oecd/urban-rural-and-regional-development/compact-city-policies_9789264167865-en

Class 12: 11/19 Confronting Urban Inequality at the City Level: Case Studies

Salazar Ferro, J. “Bogota’s Recovery Process. In: Sorensen & Okata. Ch. 14 [e-book]

Various Streetfilms and other materials on Bogota. www.streetfilms.org

(Additional readings and inputs to be determined based on student paper topics and cities.)

Class 13: 11/26 Confronting Urban Inequality From Below – Social & Squatter Movements

Appadurai, Arjun (2001) Deep Democracy: urban governmentality and the horizon of politics. *Environment & Urbanization*, 13:2, pp. 23-43.

Mayer, Margit “Urban Social Movements in an Era of Globalization” in: Brenner & Keil. p. 296-303

Moreia Alves, M. (2004) Sao Paolo’s political & economic transformations wrought by the New Labor Movement in: Gugler, p. 299-327

Class 14: 12/3 Summing Up, In-class presentations of draft research papers

--- Research Paper Due On December 16, 2012 at 1pm! ---

INTEGRITY:

Students should maintain strict adherence to standards of academic integrity, as described in SCampus (<http://www.usc.edu/dept/publications/SCAMPUS/>). In particular, the University recommends strict sanctions for plagiarism defined below:

11.11 Plagiarism

A. The submission of material authored by another person but represented as the student's own work, whether that material is paraphrased or copied in verbatim or near-verbatim form.

B. The submission of material subjected to editorial revision by another person results in substantive changes in content or major alteration of writing style.

C. Improper acknowledgment of sources in essays or papers.

Note: Culpability is not diminished when plagiarism occurs in drafts that are not the final version. If any material is prepared or submitted by another person on the student's behalf, the student is expected to proofread the results and is responsible for all particulars.

ACADEMIC ACCOMMODATIONS:

Any student requesting academic accommodations based on a disability is required to register with Disability Services and Programs (DSP) each semester. A letter of verification for approved accommodations can be obtained from DSP. Please be sure the letter is delivered to the instructor or to a TA as early in the semester as possible. DSP is located in STU 301 and is open early 8:30 a.m. - 5:00 p.m., Monday through Friday. The phone number for DSP is (213) 740-0776.