

**BUAD 497 Strategic Management
Syllabus.v1 – FALL 2017
15112**

Class Sessions	Friday 9:30 pm – 12:50 pm
Classroom	JFF 240
Instructor	Bob Zukis, MBA, BBA, CPA
Office	Meeting Rooms Close to Classroom
Office Hours	Fridays by appointment from 2-4 pm
email	zukis@usc.edu

Course Description

This course introduces the key concepts, tools, and principles of strategy formulation and competitive analysis. It is concerned with managerial decisions and actions that affect the performance and survival of business enterprises. The course is focused on the information, analyses, organizational processes, and skills and business judgment managers must use to devise strategies, position their businesses, define firm boundaries and maximize long-term profits in the face of uncertainty and competition.

Strategic Management (BUAD 497) is an integrative and interdisciplinary course. It assumes a broad view of the environment that includes buyers, suppliers, competitors, technology, the economy, capital markets, government, global forces and views the external environment as dynamic and characterized by uncertainty. In studying strategy, the course draws together and builds on all the ideas, concepts, and theories from your functional courses such as Accounting, Economics, Finance, Marketing, Organizational Behavior, and Statistics.

The course takes a general management perspective, viewing the firm as a whole, and examining how policies in each functional area are integrated into an overall competitive strategy. The key strategic business decisions of concern in this course involve selecting competitive strategies, creating and defending competitive advantages, defining firm boundaries and allocating critical resources over long periods. Decisions such as these can only be made effectively by viewing a firm holistically, and over the long term.

Prerequisites. The course prerequisites as listed in the USC catalogue are as follows: BUAD 281 or BUAD 285*b* or BUAD 286*b* or BUAD 305 and BUAD 304 and BUAD 307 and BUAD 215*x* or BUAD 306 and BUAD 302 or BUAD 302*T*; *co-requisite*: BUAD 311 or BUAD 311*T*.

Your Instructor

Bob Zukis is an Adjunct Professor in the Management and Organization Department. He teaches Strategic Management and Global Business Issues. He received his MBA from The University of Chicago and his undergraduate degree from Texas Tech University. He is the Founder and CEO of www.digitaldirectors.network. During the early part of his career he was a PwC Advisory Partner. As a PwC management consultant he has lived and worked on 4 continents across 20 countries where he advised the global F1000 on a wide range of strategic and operational issues related to global business.

One of the first professionals working in mainland China in the 1980's, he has also lived in Japan, England, Saudi Arabia and the United States. He has built and led businesses in multiple markets around the world. A true global practitioner his clients have included multi-national firms in technology, life sciences, financial services, consumer and industrial products and other sectors.

He has recently held executive roles, including CEO, for several early to mid-stage VC and PE backed enterprise technology companies. Roles have focused on turnaround and growth, market entry and new product go-to market channel strategy and development. He is an advisor to Splash Ventures, LA's leading Israeli accelerator.

He is Author of the book *Social Inc.*, holds the position of Senior Fellow Governance Center for The Conference Board, is a SoCal NACD Board Member, sits on the Growth Advisory Board Member for Firemon, and is an advocate and advisor on leading practices in digital governance. He is frequently published and regularly speaks at industry events.

Bob has been quoted in:

The Financial Times and FT.com - Digital Business
Wired.com
Forbes.com
Risk Professional
Computerworld
CIO Magazine
CA Magazine.com

The Atlantic's Quartz
WSJ Digits and WSJ MarketWatch
Success Stories Japan
CIO Update
Info World
Computer News
TheSmartVan.com

Bob's academic interests are around the impacts of disruptive technology on competitive advantage and technology's role in the creation and capture of value. He is a supporter of the no-kill animal movement, plays basketball and is global sports junkie whose favorite sporting events are the World Cup, NCAA tournament and the Super Bowl. Bob and his wife Kimberly have been married for 34 years and live in Manhattan Beach.

Learning Objectives

The course is composed of three interrelated modules that compliment and build upon each other. The learning objectives associated with each module are intended to enable you to develop:

1. Cognitive understanding of terminology and relationships, the purpose of which is to help you develop factual and conceptual knowledge about the field of strategic management;
2. Skills in being able to perform specific forms of analysis, the purpose of which is to help you develop procedural knowledge specific to the field of strategic management and metacognitive knowledge in how to go about solving problems in strategic management and thinking, and
3. Critical thinking in evaluating the empirical evidence that exists to analyze, formulate, implement and understand a comprehensive and dynamic business strategy and it's competitive implications at a micro and macro level.

All of the graded elements of this course are based on assessing your ability to demonstrate specific knowledge in these areas. The core modules encompass:

Analysis

- Analyze the main structural features of an industry and develop strategies that position the firm most favorably in relation to competition and influence industry structure to enhance industry attractiveness.
- Use evidence on structural trends within industries to forecast changes in competition and profitability in the future.
- Recognize the different stages of industry evolution and recommend strategies appropriate to each stage.
- Identify alternative approaches for corporate resource allocation and recommend resource allocation across a firm's portfolio of businesses.
- Make recommendations for horizontal changes in the boundary of the firm based on an understanding of the conditions under which diversification creates value.
- Make recommendations for vertical changes in the boundary of the firm based on an understanding of the advantages of vertical integration and outsourcing and the factors that determine the relative efficiency of each.

Formulation

BUAD 497/Zukis

- Appraise the resources and capabilities of the firm in terms of their ability to confer sustainable competitive advantage and formulate strategies that leverage a firm's core competencies.
- Distinguish the two primary types of competitive advantage: cost and differentiation and formulate strategies to create a cost, differentiation or dual advantage.
- Diagnose problems with firm competitive advantage and recommend alternative courses of action for improvement.
- Formulate international strategies that achieve an optimal balance between global integration and national differentiation.

Implementation and Governance

- Analyze dynamics in competitive rivalry including competitive action and response, first-mover advantage, co-competition and winner-take-all and make appropriate recommendations for acting both proactively and defensively.
- Conduct basic game-theoretic analysis to predict competitive interactions.
- Analyze competitive dynamics in technology-intensive industries and formulate strategies for exploiting innovation and winning standard battles.
- Identify critical actions for implementing company strategy.
- Recognize the contribution of appropriate leadership, timing, and employee commitment to organizational success.

The relationship between the course learning goals and the Marshall School of Business' undergraduate business program goals is described in Appendix A.

Required Materials

IMPORTANT NOTE: This section **REQUIRES** that students use the Connect tool that comes with the online version of the textbook.

Each student will need an individual account. Accounts cannot be shared because quizzes and exams will be conducted online through individual accounts. An additional loose-leaf copy of the text is also available, but is not a substitute for the online text and is not required.

Textbook. Strategic Management 3rd edition, by Frank T. Rothaermel, McGraw Hill, 2017. ISBN: 9781260045727

You must register for a Connect account, which comes with the online version of the text for this section.

Harvard Coursepack. Assigned cases and readings for this course are available online. Additional readings may be distributed in class or posted to Blackboard. When necessary, I may also place additional materials in the bookstore for you to purchase.

Blackboard (Bb). Additional course materials may be posted to Blackboard including the course syllabus, powerpoints, lecture notes, articles, case discussion questions and assignments. Please check Blackboard regularly for any new information or materials relevant to upcoming sessions.

Course Format and the Case Discussion Method

In order to achieve the objectives of the course, we will devote the majority of our class time to the analysis and discussion of the concepts covered in our text and selected business cases. Lectures will be given to elaborate on key theoretical models and frameworks which will then be applied to case analysis. Cases provide a natural "test-bed" for theory and provide vivid examples that aid the memory of concepts. While nothing can surpass first-hand personal industry and managerial experience as a basis for analysis and decision-making, case analysis is an indispensable proxy for the kind of knowledge that can only be gained through years of experience and research. A set of business cases has been selected on a range of companies from a variety of industry settings. I will also share select redacted deliverables I've produced throughout my career, to show you the real world practical application of the course content.

The case method also allows you to develop skills at problem definition in addition to problem solving. Cases typically do not have an obvious set of tasks whose performance will lead to mastery. Rather, they force you to sift through a mass of information, some of it irrelevant or contradictory, in order to identify the key strategic issues. Second, the case method gives you a chance to deal with ambiguity. Most cases do not have obvious "right" answers. Managers

must be able to function in situations where the right answer is not known, without falling into the trap of assuming that any answer is as good as another. A popular phrase in case analysis classes is "**There are no wrong answers, but there are better answers.**"

These rationales are offered because the case method may be unfamiliar to some of you and frequently causes initial confusion. There will be many times when I will not reveal my own opinions about a particular issue, and there will be many cases that do not end up neatly packaged with an "answer." Exploring these situations together is how you will work with colleagues throughout your careers, and is a valuable part of your lifelong approach to teaming and learning.

The quality of your learning experience during our class discussions will be directly determined by your degree of preparation, active listening, and participation. You should feel free to express your opinions or thoughts, and recognize that some may not agree with you, which may require you to defend your argument or viewpoint. Please refer to Appendix B for a *Guide to Case Analysis* and to Appendix C for a *Guide to Article Analysis*.

Your Course Grade

Your course grade will be determined as follows:

INDIVIDUAL CONTRIBUTION (80%)	
Individual Course Contributions (Quizzes, Cases and Course Contribution)	25%
Mid-Term Exam	20%
Final Exam	30%
USC Marshall Research Participation	5%
GROUP PROJECT/CONTRIBUTION (20%)	
Team Grade: Written Report, Recommendations, Analysis and Presentation (Peer Review Adjusted if Required)	20%

In order to pass this course successfully, a passing grade (> 50%) must be achieved in the combined average of the individual components and in the combined average of the group components. ***Please note that if your individual performance in the course is unsatisfactory, it will not be brought up by a good group grade.***

Final grades represent how you perform in the class relative to other students. Three items are considered when assigning final grades:

1. Your weighted score as a percentage of the available points for all assignments (the points you receive divided by the number of points possible).
2. The overall average percentage score within the class.
3. Your ranking among all students in the class.

Individual Course Contribution (25%). Because this course relies heavily on case materials, extensive *before-class preparation and in-class participation are required* to ensure the class' success and your learning. What you get from this course will depend on what you put in – not just in terms of your reading and analysis of the cases, but your willingness to question and to seek alternate perspectives, to be clear about your own position, and to defend your arguments. Unless you have thought about the cases, it is very hard to learn from others' questions and contributions to class. **Staying current with chapter and case readings is essential** to active class participation.

Attendance Policy

Class attendance is absolutely essential. Attendance will be taken. The policy on missed classes is to allow each student **two (2) absences**, no questions asked, no penalty. All further absences over this limit will reduce the student's BUAD 497/Zukis

course contribution grade and final course grade, no questions asked, no excuses of any kind accepted. **Students with an excessive number of absences are at risk of failing the course.** Only official university engagements, such as officially scheduled USC Marshall sponsored case competitions and sports events, will be accepted as exceptions to this policy. Job interviews, etc., are not excused. Habitual lateness and leaving class early, for whatever reason, will be noted as evidence of low course commitment and penalized. **Simply put, you cannot learn from our class discussions, and your classmates cannot learn from you, if you are not present.**

Verbal Class Participation

Students are expected to participate in oral discussions and to serve as case discussion leaders, taking the lead in various aspects of case discussions. In grading class participation, I will look at both the quantity and quality of your oral contributions. Class participation is obviously a function of preparation, skills, attitude, and a willingness to actively commit yourself in front of all class participants. In relative terms, a classroom is a cost-free environment for experimenting and learning to work in a group environment. Make use of it.

With regard to quality, the dimensions that I look for include:

Relevance -- does the comment bear on the subject at hand? Comments that do not link up with what the discussion is focusing on can actually detract from the learning experience.

Causal Linkage -- are the logical antecedents or consequences of a particular argument traced out? Comments that push the implications of a fact or idea as far as possible are generally superior.

Responsiveness -- does the comment react in an important way to what someone else has said?

Analysis -- is the reasoning employed consistent and logical?

Evidence -- have data from the case, from personal experience, from general knowledge been employed to support the assertions made?

Importance -- does the contribution further our understanding of the issues at hand? Is a connection made with other cases we have analyzed?

Case Notes

Recognizing that it is not always possible for every student to contribute to every case discussion, and that some students are naturally more reserved than others, students can turn in individually prepared, but brief, analysis of the relevant course material and case questions. These should be a maximum of one typed page, single-spaced. Case notes must be turned in at the beginning of class to receive credit. These notes **will not be graded** but will be checked with a plus, check or minus depending on quality and thoroughness. Student performance on these notes will be used to help determine a student's course contribution grade. Note, however, that these notes do not substitute for contributing to our core-learning environment. Each student has a responsibility to participate in class and enhance the learning experience of all class participants. Moreover, case notes cannot be submitted to make up for a class absence. **Students should turn in at least four (4) case notes during the semester.** Even if you are an active class participant you should submit four (4) case notes.

Written In-Class Assignments

Students are expected to participate in any written in-class assignments both individually and as part of a team. These assignments provide an opportunity to apply the concepts learned in a particular module and generally consist of questions on a case, article or video.

Chapter Quizzes

Short online quizzes will be given, as scheduled or at any time during the course to test the level of student preparation for case discussions. These quizzes will be conducted online and consist of multiple-choice questions. Student

performance on these quizzes will contribute to a student's course contribution grade. No make-up opportunities will be given to students who are absent or late.

Individual Midterm Exam (20%) — There will be one midterm exam covering Chapter 1 – 5 industry analysis and internal analysis. All exams are closed book, online and based upon our text.

Individual Final Exam (30%) — The final exam is scheduled according to the University's final exam schedule. It will be a two-hour comprehensive exam covering all of the modules and related material (lectures, cases, mini-cases, etc.) covered throughout the textbook.

Individual Research Participation (5%) — Please refer to Appendix D for a detailed description of this important component of your grade.

Group Project (20%) — You will prepare a strategic analysis and recommendation of an existing company as part of a group project. You will apply the concepts, analytical tools and frameworks of this course to analyze the firm's external and internal environments, identify the key strategic issues it faces, and present a set of recommendations to maximize the firm's long-term performance. The project includes a midterm draft presentation and a final presentation. The last day of class is set aside for final presentations to the class. The presentation allows each team to report their analysis and recommendations to the class. The exact presentation order will be assigned by a random drawing the day of class. A team grade will be assigned based upon the quality of your written report, recommendations, analysis and presentation (WRAP). Based upon peer review, an individual's grade may also be adjusted, see below.

Group Project Peer Evaluations

Each of you will complete a peer evaluation of the members of your team with respect to the group project. A copy of the peer evaluation form is found on the last page of this syllabus and is due on the last day of class. The group grade assigned to an individual may be adjusted up or down for peer feedback. **If you experience any group-related problem (e.g. conflict, free-rider etc.) during the semester, please let me know immediately.**

Course Policies

Add/Drop Process

If you are absent two or more times prior to September 8th I may ask you to withdraw from the class by that date. These policies maintain professionalism and ensure a system that is fair to all students.

Course Communication: Blackboard

A Blackboard website has been created for this course: <https://blackboard.usc.edu>. You should develop the habit of checking Blackboard on a regular basis. The course syllabus, powerpoints, lecture notes, assignment information, and additional course materials will be posted on this site throughout the semester. Since e-mails sent to the class originate from the Blackboard system, it is your responsibility to ensure that your Blackboard account settings forward your messages to your preferred email account such as Gmail or Yahoo.

Communication, Entertainment Devices and Social Media

Laptop and Internet usage is **not** permitted during class. Notes should be hand written, which aids in the learning process. All quizzes and exams are closed book (including the online version which is not to be used during quizzes or exams). Videotaping faculty lectures is not permitted, due to copyright infringement regulations. Audiotaping may be permitted if approved by the professor. Use of any recorded or distributed material is reserved exclusively for the USC students registered in the class. Tweeting, or any online social media sharing of issues or topics discussed in class is not allowed unless expressly indicated as part of an exercise.

Academic Integrity

USC seeks to maintain an optimal learning environment. General principles of academic honesty include the concept of respect for the intellectual property of others, the expectation that individual work will be submitted unless otherwise allowed by an instructor, and the obligations both to protect one's own academic work from misuse by others as well as to avoid using another's work as one's own. All students are expected to understand and abide by BUAD 497/Zukis

these principles. SCampus, the Student Guidebook, contains the Student Conduct Code in Section 11.00, while the recommended sanctions are located in Appendix A. <http://www.usc.edu/dept/publications/SCAMPUS/gov/>.

Students will be referred to the Office of Student Judicial Affairs and Community Standards for further review, should there be any suspicion of academic dishonesty. The Review process can be found at: <http://www.usc.edu/student-affairs/SJACS/> Failure to adhere to the academic conduct standards set forth by these guidelines and our programs will not be tolerated by the USC Marshall community and can lead to dismissal.

Disruptive Classroom Behavior

Disruptive classroom behavior can take many forms. It can involve a student talking constantly while the professor is delivering a lecture or a student who loudly and regularly interrupts the flow of class with questions or interjections. Such behavior is unacceptable to the University and will not be tolerated because it interferes with the learning process for other students and hinders the professor's ability to teach effectively. Further information can be found at http://www.usc.edu/student-affairs/SJACS/pages/faculty/disruptive_behavior.html

Class Notes Policy

Notes or recordings made by students based on a university class or lecture may only be made for purposes of individual or group study, or for other non-commercial purposes that reasonably arise from the student's membership in the class or attendance at the university. This restriction also applies to any information distributed, disseminated or in any way displayed for use in relationship to the class, whether obtained in class, via email or otherwise on the Internet, or via any other medium. Actions in violation of this policy constitute a violation of the Student Conduct Code, and may subject an individual or entity to university discipline and/or legal proceedings.

No student may record any lecture, class discussion or meeting with me without my prior express written permission. The word "record" or the act of recording includes, but is not limited to, any and all means by which sound or visual images can be stored, duplicated or retransmitted whether by an electro-mechanical, analog, digital, wire, electronic or other device or any other means of signal encoding. I reserve all rights, including copyright, to my course syllabi, lectures, powerpoints, prior exams, answer keys, and all supplementary course materials available to the students enrolled in my class whether posted on Blackboard or otherwise. They may not be reproduced, distributed, copied, or disseminated in any media or in any form, including but not limited to all course note-sharing websites.

Emergency Preparedness/Course Continuity

In case of emergency, and travel to campus is difficult, USC executive leadership will announce an electronic way for instructors to teach students in their residence halls or homes using a combination of Blackboard, teleconferencing, and other technologies. Please activate your course in Blackboard with access to the course syllabus. Whether or not you use Blackboard regularly, these preparations will be crucial in an emergency. USC's Blackboard learning management system and support information is available at blackboard.usc.edu.

Students With Disabilities

Any student requesting academic accommodations based on a disability is required to register with Disability Services and Programs (DSP) each semester. A letter of verification for approved accommodations can be obtained from DSP. Please be sure the letter is delivered to me as early in the semester as possible. DSP is located in GFS 120 and is open 8:30 a.m.–5:00 p.m., Monday through Friday. The phone number for DSP is (213) 740-0776.

COURSE SCHEDULE		(BUAD 497 Strategic Management /Zukis)	
Class	Date	Session Topics & Agenda	Readings/Assignments
1	F: 8/25	Class Introduction/Form Groups What is Strategy? Why is it Important?	Read In-Class: Rothaermel, How to Conduct a Case Analysis (p. 516, 5 pages)
2	F: 9/1	What is Strategy?	Read: Rothaermel, Chapter 1 Case: Twitter (CP) Register for a research study account Case Question: What “game” is Twitter playing and how are they competing differently? Due: Group Company Selections/Instructions
3	F: 9/8	Strategic Leadership: Managing the Strategy Process	Read: Rothaermel, Chapter 2 Read: Wal-Mart Buys Jet.com for \$3B in Cash to Compete with Amazon https://techcrunch.com/2016/08/08/confirmed-walmart-buys-jet-com-for-3b-in-cash/ Case: Wal-Mart Stores., Inc. (CP) Wal-Mart Update (CP) Case Question: Why didn't Wal-Mart invent Amazon? Quiz 1 (Ch 1 & 2)
4	F: 9/15	External Analysis: Industry Structure, Competitive Forces and Strategic Groups	Read: Rothaermel, Chapter 3 Case: Tesla Motors Inc. (CP) Case Question: What's the most important thing critical to Tesla's future and long-term ability to compete?
5	F: 9/22	Internal Analysis: Resources, Capabilities and Core Competencies	Read: Rothaermel, Chapter 4 Case: McDonald's Corporation (CP) Case Question: What's are the two most valuable resources or capabilities that McDonald's has? Quiz 2 (Ch 3 & 4)
6	F: 9/29	Industry Analysis: Competitive Advantage, Firm Performance and Business Models	Read: Rothaermel, Chapter 5 Read: Zukis, Social Inc. Ch 4 Porter and the Holy Grail (BB) Case: Apple, Inc. in 2015 (CP) Case Question: What's unique about the Apple business model and if you were Tim Cook, what would you do with the +\$250bn of cash on their balance sheet?
7	F: 10/6	Guest Speakers/Event	Splash Ventures Accelerator Pitch (SharkTank Style) Group Midterm Draft Presentation Due At Beginning of Class
8	F: 10/13	MIDTERM EXAM (Dr. Frank Chapters 1-5)	
9	F: 10/20	Differentiation	Read: Rothaermel, Chapter 6 Case: The Marvel Way: Restoring a Blue Ocean (CP) Case: Starbucks: A Story of Growth (CP) Case Question: Would you rather be Marvel or Starbucks, and why?
10	F: 10/27	Innovation and Entrepreneurship	Read: Rothaermel, Chapter 7 Case: Netflix (CP) Case Question: Who poses the biggest risk to Netflix and what is their strongest competitive advantage?

			(Quiz 3 Ch 6 & 7)
11	F: 11/3	Vertical Integration and Diversification	Read: Rothaermel, Chapter 8 & 9 Case: Amazon in 2016 (CP) Case Question: What is Amazon's business strategy with the acquisition of Whole Foods and what competitive advantage are they extending?
12	F: 11/10	Global Strategy and Organizational Design	Read: Rothaermel, Chapter 10 & 11 Case: UBER and the Sharing Economy: Global Market Expansion and Reception (CP) Case Question: Who is to blame for UBER's toxic culture and do you think they will fail or succeed and why? (Quiz 4 Ch 8 & 9)
13	F: 11/17	Corporate Governance	Read: Rothaermel, Chapter 12 Article: https://www.ft.com/content/05f74668-7e6f-11e7-9108-edda0bc928 Book Chapter: Zukis, Information Technology and Cybersecurity Governance in a Digital World (BB) Case/Readings: Snap Case Question: What's the point of governance when dual voting structures exist?
	F: 11/24	HOLIDAY NO CLASS	
14	F: 12/1	Group Presentations	Order determined by THE WHEEL OF TROY
15	M: 12/11	FINAL EXAM (11:00 am to 1:00 pm)	Dr. Frank (Ch 1-5 20% and 6-12 80%)
<i>CP = Harvard Coursepack TBD = To be distributed in class BB - Blackboard</i>			

**Appendix A. Relationship Between Course Learning Goals
and Marshall’s Undergraduate Business Program Goals**

In this class, emphasis will be placed on Marshall’s Undergraduate Business Program Goals as follows:

Goal	Description	Course Emphasis	Relevant Course Topics
1	Our graduates will understand types of markets and key business areas and their interaction <i>to effectively manage different types of enterprises.</i>	High	Strategic frameworks which advance students’ ability to analyze markets, industries, and regional and global economies are introduced in all 5 modules. Repeated exposure to these frameworks through numerous case studies accelerate student learning from one class session to another.
2	Our graduates will develop a global business perspective. They will understand how local, regional, and international markets, and economic, social and cultural issues impact business decisions <i>so as to anticipate new opportunities in any marketplace.</i>	High	A global business perspective is developed through case studies of multinational companies and a dedicated global strategy module.
3	Our graduates will demonstrate critical thinking skills <i>so as to become future-oriented decision makers, problem solvers and innovators.</i>	High	Students will use the USC-CT critical thinking framework to analyze business cases. In the context of advanced problem solving, the model drives students to identify critical problems and to provide a compelling rationale for the recommended solutions.
4	Our graduates will develop people and leadership skills to promote their effectiveness as <i>business managers and leaders.</i>	Moderate	Students will engage in multiple team exercises during the course including a team final project.
5	Our graduates will demonstrate ethical reasoning skills, understand social, civic, and professional responsibilities and aspire to add value to society.	High	Several cases studies include ethical issues, which are discussed in class. The instructor may also bring up a current event featuring an ethical dilemma and lead a class discussion on what happened, why, and what might have been done to address the issue in a different manner.
6	Our graduates will be effective communicators <i>to facilitate information flow in organizational, social, and intercultural contexts.</i>	Moderate	The team final project gives students an opportunity to apply concepts and frameworks learned in class to analyze a company of their choice. A written report and a team presentation are required at the end of the semester providing an opportunity to present the analysis to the class.

Appendix B. Guide to Case Analysis

Cases require careful preparation before class. The following is a list of steps to guide your case preparation.

1. Rapidly read the assigned case and other materials to gain a general understanding of the industry, the firm, and the general competitive situation and issues.
2. Carefully review the discussion questions provided for the case for clues as to what issues require special attention.
3. Carefully re-read the case, taking notes that sort information, facts, and observations under a number of relevant headings. Use the discussion questions to guide your own thinking about the issues.
4. Formulate theories or hypotheses about what is going on as you read ("the company loses money on small orders"), modifying or rejecting them as new information surfaces ("Table 2 shows that shipping costs per unit are higher for small orders, but only for long-distance shipments").
5. Perform quantitative analyses, "crunching" whatever numbers are available. It is also very important to provide quantitative support wherever possible, particularly when exploring various hypotheses as to the nature and importance of certain phenomena. (If the requisite data are not available in the case, precise descriptions of what data are missing often triggers ideas for making creative use of the information that is available.) It is usually worthwhile to identify trends in the firm or industry, preferably with a quantitative measurement. Some of these trends, often very important ones, will not be flagged in the text of the case.
6. Prepare definitive conclusions before you come to class concerning the issues raised in the discussion questions.
7. Bring your detailed notes with you to class to help guide your interventions in class discussions.

References:

- How to Conduct a Case Analysis in Rothaermel, Frank T., Strategic Management, 3rd edition, 2017, pp. 516-521
- USC-CT framework (14:31 mins.) available at:
<http://info.marshall.usc.edu/faculty/critthink/Pages/default.aspx>
- Tackling Case Analysis video (29:13 mins.) available at:
<http://info.marshall.usc.edu/faculty/critthink/Pages/default.aspx>

Appendix C. Guide to Article Analysis

The following set of questions is provided to guide your analysis of the articles assigned in this course.

1. What is the purpose of this article? What problem or issue does it address?
2. What are the basic assumptions/assertions/values that underlie this piece? (View of human nature; purpose of organization e.g. economic efficiency vs. human satisfaction; degree to which planning is possible etc.) Are these assumptions explicit or implicit?
3. What is the basic argument/thesis? What are the major findings and conclusions?
4. What is the nature of the evidence presented in support of the argument/thesis? Are the conclusions well supported?
5. What problems or concerns are you left with?
6. How does the article relate to other articles you have read and to your own knowledge and experience? How does it contribute to your overall understanding of business strategy?

Appendix D. Individual Research Participation

Research Participation

Participation in Discussion Sessions: This part of your grade will be based on effective contributions to class discussions. These include input that is relevant to the course content and the topic of discussion. Participation also comprises involvement in research activities. You can choose one of two options below to fulfill this requirement. Please note that, if you do not complete one of these two options, you will lose points for this part of your grade.

Option 1:

First, you can participate in research studies. To do this, you will attend sessions outside of class, conducted by researchers in MOR at Marshall. You will earn ½ credit or 1 credit for each separate study you complete; most sessions are no more than an hour long. You will need to obtain 4 credits during the semester in order to fulfill the research requirement. If you choose this option, please register for an account at <http://marshall-mor.sona-systems.com/> (see instructions posted separately to Blackboard) no later than **September 8th**.

Those who do not register or reactivate by this date will be required to complete Option 2 (research papers). After you verify your account, you will need to check the site regularly to find open studies and sign yourself up for appointments. Studies are scheduled throughout the semester, on various days and times. It's important that you complete your credit early, if you wait until the end of the semester, there may not be enough studies available. **You are not guaranteed an available study spot.** To receive full participation points, you must earn your first credit by **September 15th**. Your final credits can be earned any time before the last day of classes. As a courtesy to the researcher and other students waiting for spots, please use the online system to cancel your appointment ASAP if you cannot make it.

Please note: If you earn your first 3 credits by showing up to ALL of your scheduled sessions, on time, then you will earn your 4th credit "free," as a bonus. That is, the system is "earn three, get one free"-- again, **provided that you show up** to all three early sessions as scheduled and on time.

Option 2:

Your second way to complete the research requirement is to write 3 short, 3-page research papers on topics prearranged with your Professor or Discussion Instructor. Papers must be turned in no later than **November 3rd** to your Professor or Discussion Instructor.

Students must be aged 18 or older by August 21st in order to choose Option 1; otherwise, you will need to use Option 2.

IMPORTANT NOTES: (A) If you have already have a research study account from a past BUAD 304 or BUAD 497 course, you will need to email the administrator from the website in order to request account reactivation. Past credits earned CANNOT be used for current courses. (B) If you are enrolled for Marketing BUAD 307, please make sure you visit the Marketing research study website your Marketing Professor has given you. Please see your Marketing syllabus. Each course has its own unique Sona Systems web address. Credits will NOT transfer from one class to the other for credit fulfillment, no exceptions.

Questions about completion of the studies (Option 1) should be directed to mor.sona@marshall.usc.edu

Option 2 topics are issued by your Professor not the administrator.

BUAD 497 - Peer Evaluation

Your name: _____

Please allocate 100 points across all the members of your team including yourself to reflect your assessment of individual contributions to the team effort. I will treat your assessments as confidential.

Team-member names:

Contribution:

1. Your name: _____
2. _____
3. _____
4. _____
5. _____
6. _____

- _____
- _____
- _____
- _____
- _____
- _____

Total: 100

In the space below, provide some summary comments if required to help me understand your assessments:

Name	Strength/Weakness/Issues
2.	
3.	
4.	
5.	
6.	