BAEP 553: Cases in New Venture Management
Monday 6:30 p.m. to 9:30 p.m., JKP 202
Fall 2017 Syllabus
Version 1

BAEP 553 is a three-unit Business Entrepreneurship Course for graduate students.

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Lloyd Greif Center for Entrepreneurial Studies
Fertitta Hall, Fifth Floor
USC Marshall School of Business
949-838-5894

Office hours: By appointment

Please use my email or voicemail to discuss items of a personal nature.

Prerequisites: None

Required Readings: There is no text or course reader; however, readings and/or cases may be assigned throughout the semester. Readings and cases will be posted to Blackboard.

Course Learning Outcomes
- Evaluate challenges relating to new ventures
- Assess new venture opportunities
- Analyze strategic choices in relation to new ventures
Course Description
This course provides students with insight into the issues, challenges and opportunities involved in the creation and management of a new venture over its full life cycle. Typically, entrepreneurs are consumed with their product or service and are not prepared to strategically nor tactically lead the venture.

In this course, students will have an opportunity to learn from and engage with proven entrepreneurs who have started businesses and who have faced the many issues, challenges and opportunities that come-up in early to mid-stage ventures. Through this course, students will gain an understanding of what it takes to start and lead a venture to sustainability and possible exit. This course will focus on the issues, challenges and opportunities typically facing an early stage to emerging venture with annual revenues of $0 to over $100 million to help you prepare to launch your venture.

Course Topics
In this course, you will have an opportunity to explore a number of issues in an early to mid-stage venture such as:

- What entrepreneurs do (or fail to do) to prepare themselves to launch their venture
- Starting a new business in an industry where the entrepreneur has a passion but no background. Wise? What are the added costs, issues, challenges?
- How entrepreneurs react to the daily ups and downs of leading a venture
- The personal toll on the entrepreneur, his/her family and friends when launching a new venture
- What issues arise in a family owned business?
- How early stage businesses create culture
- How an early stage business competes against large, established enterprises (“David vs. Goliath”)?
- How entrepreneurs go from “me selling our product or service” to us (hiring sales people) selling our product or service
- How to identify and select outside service providers (attorneys, accountants, bankers, etc.) who understand the difficulties of early stage businesses?
- How to grow sales with limited resources, i.e., bootstrapping
- How to secure outside funding – debt and equity
- How to prepare for succession planning
- How to prepare your company for exit; and, when is the best time to sell
- How to establish a compensation philosophy
- How to select an effective and active board of advisors
• Transitioning from entrepreneur to entrepreneurial CEO
• How to create an environment that fosters innovation
• What is the value of patent protection?
• How to develop and execute a franchise business model
• When to recognize, as the entrepreneur, it’s time to step aside and let someone else lead the venture

Grading

<table>
<thead>
<tr>
<th>Activity</th>
<th>Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>Class Participation</td>
<td>200</td>
</tr>
<tr>
<td>9 Pre-Class Papers (required but not graded separately from Post-Class papers)</td>
<td>n/a</td>
</tr>
<tr>
<td>Post-Class Papers; up to 9 submissions x 200 points each</td>
<td>1,800</td>
</tr>
<tr>
<td>Rules of the Road</td>
<td>400</td>
</tr>
<tr>
<td>Presentation (4-minute individual presentation with slides)</td>
<td>200</td>
</tr>
<tr>
<td>TOTAL</td>
<td>2,600</td>
</tr>
</tbody>
</table>

Note: additional presentation points may be offered throughout the semester to reward individuals/teams presenting more than once. When offered, sign-ups will be taken on a first come, first served basis.

Course Assignments

Weekly Vignettes: Required reading – Not graded
Pre-Class Paper: Must submit 9 – Required but not graded separately
Post-Class Paper: Must submit 9 – 200 points each. Submitted with Pre-Class paper
Student Presentations: Must present either a Pre-Class or a Post-Class paper – 200 points

LATE WORK WILL LOSE 10% of the POINTS for each week it is late. After the second week, you will not receive a grade. Always post your work to Blackboard before the respective due date.

Weekly Vignettes
Over the course of the semester, 12 separate topics will be presented via weekly vignettes. Each student, at his/her sole discretion, shall select 9 of the 12 topics to submit both a Pre-Class and a Post-Class paper to Blackboard. The first 9 Post-Class submissions (a single submission comprising both the Pre-Class and Post-Class papers) will receive points. In order to submit a Post-Class paper, a student must submit an ungraded Pre-Class paper, posted to Blackboard only, before the start of class session in which the topic will be presented. [Note: Blackboard will not accept late submissions.] No exceptions.
9 Pre-Class Papers (Required but not graded separately)
Each week the faculty will post a vignette introducing the next week’s topic. If a student wishes to submit a graded Post-Class paper, she/he must post this Pre-Class paper to Blackboard before the start of the class session in which the vignette will be discussed. This paper should be no more than one page in length and outline the student’s initial thoughts presented in the vignette. The Pre-Class paper will be reviewed at the time the Post-Class paper is evaluated as set forth below.

Note: A Pre-Class paper submission to Blackboard and class session attendance with the guest speaker is an absolute pre-requisite to submitting a Post-Class paper. No exceptions.

9 Post-Class Papers (200 points each)
The Post-Class paper shall comprise of two parts. Part one is the student’s Pre-Class paper. Part two shall be a discussion of (1) what the student learned from the guest speaker, (2) how the student’s Pre-Class thoughts aligned or differed with the class discussion, and (3) the student’s key takeaway elements. Including the Pre-Class paper, the Post-Class paper may not exceed 3 pages in length. Post-Class papers will be evaluated based upon the student’s Pre-Class paper and presentation of the guest’s discussion points (major and minor) and insights. Post-Class papers must be submitted to Blackboard.

Papers will receive points based upon the following evaluation scale:
200 points – outstanding issue insight
175 points – good issue insight
150 points – fair issue insight
125 points – minimal issue insight

Note: if the Pre-Class paper is not included with the submission of the Post-Class paper, the maximum available point total for the paper is 175 points.

Student Presentations
One 4-minute presentation with presentation slides (200 presentation points)
Each class session up to 4 individuals will present either their Pre-Class or Post-Class papers to the class (maximum of 2 Pre-Class and 2 Post-Class presentations each week). Individuals will have no more than 4 minutes and must use up to four presentation slides. Please sign-up on Blackboard when you would like to present. There are no “make-ups” for this activity. Please sign-up early to assure yourself of a presentation slot.

Rules of the Road
By the final week of the course, the student will have crafted nine (9) Post-Class papers in response to issues, challenges and opportunities involved in the creation and managing of a new venture over its full life cycle. Due on the last day of class, each student will submit a final project presenting their Rules of the Road learned when creating and managing a new venture over its full life cycle. There is no length requirement, and the student may submit the project in any form of her/his choosing. Though only nine of the topics have been submitted for evaluation, the student may include thoughts from the other three weeks. The Rules of the Road is worth 400 points. The assignment will be evaluated based upon both content and form with content counting 300 points and form 100 points.
Rules of The Road Late Penalty: A different and separate penalty schedule applies to the Rules of the Road assignment as follows: The Rules of the Road Assignment is due Monday, November 27. A 10% penalty of your grade will be deducted for assignments submitted after 6:30 pm on the day it is due. An additional 10% daily penalty will apply for each day thereafter until your assignment is submitted to Blackboard.

Participation and Class Assignments

Class Attendance
The entrepreneur program is a real-life experience and, as such, expects real world professionals. The motto is treat each other as you would a customer. Therefore, tardiness and absences without notice are not acceptable. If you have a customer meeting, you will be on time. If you cannot be on time, you will call well in advance. Similar etiquette is required in this program. Class attendance the night of a guest speaker is a mandatory requirement to submitting a Post-Class Paper. There are no make-ups or excuses.

Participation
- 200 points – student is highly engaged in class on a weekly basis contributing insightful questions and thoughts.
- 125 points – student is moderately engaged in class on a periodic basis and occasionally contributes insightful questions and thoughts.
- 50 points – student is somewhat engaged in class contributing obvious questions and thoughts.
- 0 points – student does not contribute in class.

Confidentiality Policy
Throughout the Entrepreneur Program’s classes and events, students will be exposed to proprietary information from other students, guest lecturers and faculty. It is the policy of The Entrepreneur Program that all such information is to be treated as confidential.

By enrolling in and taking part in The Entrepreneur Program’s classes and activities, students agree not to disclose this information to any third parties without specific written permission from students, guest lecturers or faculty, as applicable. Students further agree not to utilize any such proprietary information for their own personal commercial advantage or for the commercial advantage of any third party.

In addition, students agree that any legal or consulting advice provided without direct fee and in an academic setting will not be relied upon without the enlisted opinion of an outside attorney or consultant, without affiliation to The Program.

Any breach of this policy may subject a student to academic integrity proceedings as described in the University of Southern California University Governance Policies and Procedures as outlined in SCampus, and to the remedies that may be available at law.

The Entrepreneur Program, the Marshall School of Business and the University of Southern California disclaim any responsibility for the protection of intellectual property of students, guest lecturers or faculty who are involved in The Entrepreneur Program classes or events.
Receipt of this policy and registration in our classes is evidence that you understand this policy and will abide by it.

Statement on Academic Conduct
USC seeks to maintain an optimal learning environment. General principles of academic honesty include the concept of respect for the intellectual property of others, the expectation that individual work will be submitted unless otherwise allowed by an instructor, and the obligations both to protect one’s own academic work from misuse by others as well as to avoid using another’s work as one’s own (plagiarism). Plagiarism – presenting someone else’s ideas as your own, either verbatim or recast in your own words – is a serious academic offense with serious consequences. All students are expected to understand and abide by the principles discussed in the SCampus, the Student Guidebook (USC SCampus). A discussion of plagiarism appears in the University Student Conduct Code (section 11.00 and Appendix A).

Students will be referred to the Office of Student Judicial Affairs and Community Standards for further review, should there be any suspicion of academic dishonesty. The Review process can be found at: USC Student Judicial Affairs and Community Standards. Failure to adhere to the academic conduct standards set forth by these guidelines and our programs will not be tolerated by the USC Marshall community and can lead to dismissal.

Plagiarism – presenting someone else’s ideas as your own, either verbatim or recast in your own words – is a serious academic offense with serious consequences. Please familiarize yourself with the discussion of plagiarism in SCampus in Part B, Section 11, “Behavior Violating University Standards”. Other forms of academic dishonesty are equally unacceptable. See additional information in SCampus and USC policies on scientific misconduct.

Support Systems
USC Emergency Information - USC Emergency
If an officially declared emergency makes travel to campus infeasible, USC Emergency Information will provide safety and other updates, including ways in which instruction will be continued by means of blackboard, teleconferencing, and other technology.

The Office of Disability Services and Programs – (213) 740-0776
The Disability Services and Programs (DSP) office provides certification for students with disabilities and helps arrange the relevant accommodations. Any student requesting academic accommodations based on a disability is required to register with DSP each semester.

Student Counseling Services (SCS) - (213) 740-7711 – 24/7 on call
Free and confidential mental health treatment for students, including short-term psychotherapy, group counseling, stress fitness workshops, and crisis intervention. Go to: USC Engemann Student Health Center Website

National Suicide Prevention Lifeline - 1-800-273-8255
Provides free and confidential emotional support to people in suicidal crisis or emotional distress 24 hours a day, 7 days a week. Go to: National Suicide Prevention Lifeline
Relationship & Sexual Violence Prevention Services (RSVP) - (213) 740-4900 - 24/7 on call
Free and confidential therapy services, workshops, and training for situations related to gender-based harm. Go to: [USC Engemann RSVP Services](#)

**Sexual Assault Resource Center**
For more information about how to get help or help a survivor, rights, reporting options, and additional resources, visit the Website: [Sexual Assault Resource Center](#)

**Office of Equity and Diversity (OED)/Title IX compliance – (213) 740-5086**
Works with faculty, staff, visitors, applicants, and students around issues of protected class. Go to: [Office of Equity and Diversity Website](#)

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**Bias Assessment Response and Support**

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**Course Schedule**

**Week 1**
**August 21**

<table>
<thead>
<tr>
<th>Class Discussion</th>
<th>Paper(s) Due</th>
<th>Student Presentations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Course &amp; Syllabus</td>
<td>Topic 1 Pre-Class</td>
<td>Topic 1 Pre-Class</td>
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<tr>
<td>Topic 1 Pre-Class</td>
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</table>

Guest speaker: **Doug Pearson, Founder & CEO, California Creative Foods, Inc.**

**Entrepreneurial entry strategy-acquiring a business opportunity.**

Outcomes:
- Explain the personal and family commitments necessary when acquiring a business
- Understand the key steps to acquiring a business
Week 2
August 28

<table>
<thead>
<tr>
<th>Class Discussion</th>
<th>Paper(s) Due</th>
<th>Student Presentations</th>
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</thead>
<tbody>
<tr>
<td>Topic 1 Post-Class</td>
<td>Topic 1 Post-Class</td>
<td>Topic 1 Post-Class</td>
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<tr>
<td>Topic 2 Pre-Class</td>
<td>Topic 2 Pre-Class</td>
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</tbody>
</table>

Guest speaker: **Rick Smith, Co-Founder & Managing Director, CrossCut Ventures**  
*Launching a Southern California venture capital firm and getting it off the ground*

Outcomes:
- Describe the venture capital landscape in Southern California and beyond
- List the success factors to launching a venture capital firm in Southern California

Week 3
September 4

Labor Day. No class.

Week 4
September 11

<table>
<thead>
<tr>
<th>Class Discussion</th>
<th>Paper(s) Due</th>
<th>Student Presentations</th>
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<tbody>
<tr>
<td>Topic 2 Post-Class</td>
<td>Topic 2 Post-Class</td>
<td>Topic 2 Post-Class</td>
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<tr>
<td>Topic 3 Pre-Class</td>
<td>Topic 3 Pre-Class</td>
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</tbody>
</table>

Guest speaker: **Carey Ransom, CEO, RealPractice**  
*Scaling a stagnant company backed by venture capital*

Outcomes:
- Describe how a stagnant company backed by venture capital finds ways to grow revenues
- Describe your initial priorities as a new CEO in stagnant company
Week 5
September 18

<table>
<thead>
<tr>
<th>Class Discussion</th>
<th>Paper(s) Due</th>
<th>Student Presentations</th>
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</thead>
<tbody>
<tr>
<td>Topic 3 Post-Class</td>
<td>Topic 3 Post-Class</td>
<td>Topic 3 Post-Class</td>
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<tr>
<td>Topic 4 Pre-Class</td>
<td>Topic 4 Pre-Class</td>
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</tbody>
</table>

Guest speaker: **Michael Caito, Co-Founder and CEO, Restaurants on the Run**

*Transitioning from entrepreneur to entrepreneurial CEO*

Outcomes:
- Assess the differences between an entrepreneur and an entrepreneurial CEO
- Learn what tools are available to help an entrepreneur become an entrepreneurial CEO

Week 6
September 25

<table>
<thead>
<tr>
<th>Class Discussion</th>
<th>Paper(s) Due</th>
<th>Student Presentations</th>
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</thead>
<tbody>
<tr>
<td>Topic 4 Post-Class</td>
<td>Topic 4 Post-Class</td>
<td>Topic 4 Post-Class</td>
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<tr>
<td>Topic 5 Pre-Class</td>
<td>Topic 5 Pre-Class</td>
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</tbody>
</table>

Guest speaker: **Carrie Rezabek Dorr, Founder & CEO, Pure Barre (DVD)**

*Developing and executing a franchise model*

Outcomes:
- State the types of businesses that might be candidates for franchising
- State the pros and cons of a franchise model

Week 7
October 2

<table>
<thead>
<tr>
<th>Class Discussion</th>
<th>Paper(s) Due</th>
<th>Student Presentations</th>
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</thead>
<tbody>
<tr>
<td>Topic 5 Post-Class</td>
<td>Topic 5 Post-Class</td>
<td>Topic 5 Post-Class</td>
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<tr>
<td>Topic 6 Pre-Class</td>
<td>Topic 6 Pre-Class</td>
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</table>

Guest speaker: **Torin Pavia, Founder and Chairman, Arbitech**

*Creating a winning culture and hiring great people in an emerging company*

Outcomes:
- Recognize and describe successful cultures for emerging companies
- Describe work environments that are productive for fast growing companies
Week 8  
October 9

<table>
<thead>
<tr>
<th>Class Discussion</th>
<th>Paper(s) Due</th>
<th>Student Presentations</th>
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</thead>
<tbody>
<tr>
<td>Topic 6 Post-Class</td>
<td>Topic 6 Post-Class</td>
<td>Topic 6 Post-Class</td>
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<tr>
<td>Topic 7 Pre-Class</td>
<td>Topic 7 Pre-Class</td>
<td>Topic 7 Pre-Class</td>
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</table>

Guest speaker: **Steve Myers, Founder & Chairman Emeritus, SM & A**  
*Opportunity Recognition*

Outcomes:
- Recognize a new business opportunity
- Learn the traits of an entrepreneur

Week 9  
October 16

<table>
<thead>
<tr>
<th>Class Discussion</th>
<th>Paper(s) Due</th>
<th>Student Presentations</th>
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</thead>
<tbody>
<tr>
<td>Topic 7 Post-Class</td>
<td>Topic 7 Post-Class</td>
<td>Topic 7 Post-Class</td>
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<tr>
<td>Topic 8 Pre-Class</td>
<td>Topic 8 Pre-Class</td>
<td>Topic 8 Pre-Class</td>
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</tbody>
</table>

Guest speaker: **Frank Yang, Founder & CEO, simplehuman**  
*Launching a consumer products company based upon design*

Outcomes:
- Learn the challenges of launching a consumer products company
- List the best distribution channels for a consumer products company

Week 10  
October 23

<table>
<thead>
<tr>
<th>Class Discussion</th>
<th>Paper(s) Due</th>
<th>Student Presentations</th>
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</thead>
<tbody>
<tr>
<td>Topic 8 Post-Class</td>
<td>Topic 8 Post-Class</td>
<td>Topic 8 Post-Class</td>
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<tr>
<td>Topic 9 Pre-Class</td>
<td>Topic 9 Pre-Class</td>
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</tbody>
</table>

Guest speaker: **Helen Chen, Ph.D., Chief Operations Officer, Ambryx Biotechnology, Inc.**  
*How a young woman professional with a technical background successfully navigates through the entrepreneurial world.*

Outcomes:
- Assess what additional challenges, if any, do female entrepreneurs face
- Learn if advanced technical degrees help or hinder a female entrepreneur when engaging equity funders, bankers and potential strategic partners
Week 11
October 30

<table>
<thead>
<tr>
<th>Class Discussion</th>
<th>Paper(s) Due</th>
<th>Student Presentations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Topic 9 Post-Class</td>
<td>Topic 9 Post-Class</td>
<td>Topic 9 Post-Class</td>
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<tr>
<td>Topic 10 Pre-Class</td>
<td>Topic 10 Pre-Class</td>
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</table>

Guest speaker: **Mark Friedman, Founder & CEO, Perfect Fitness**

*When your best strategy is to pivot*

Outcomes:
- Explain strategic decisions and positions to take in rapidly growing companies
- Understand how externalities can affect the success of new companies

Week 12
November 6

<table>
<thead>
<tr>
<th>Class Discussion</th>
<th>Paper(s) Due</th>
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</thead>
<tbody>
<tr>
<td>Topic 10 Post-Class</td>
<td>Topic 10 Post-Class</td>
<td>Topic 10 Post-Class</td>
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<tr>
<td>Topic 11 Pre-Class</td>
<td>Topic 11 Pre-Class</td>
<td>Topic 11 Pre-Class</td>
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</tbody>
</table>

Guest speaker: **Rod Derifield, Founder & CEO, EnviroCooler**

*What is the value of patent protection?*

Outcomes:
- Describe the value of patent protection
- List the pros and cons related to developing a domestic and international patent portfolio

Week 13
November 13

<table>
<thead>
<tr>
<th>Class Discussion</th>
<th>Paper(s) Due</th>
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</thead>
<tbody>
<tr>
<td>Topic 11 Post-Class</td>
<td>Topic 11 Post-Class</td>
<td>Topic 11 Post-Class</td>
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<tr>
<td>Topic 12 Pre-Class</td>
<td>Topic 12 Pre-Class</td>
<td>Topic 12 Pre-Class</td>
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</tbody>
</table>

Guest speaker: **Lloyd Greif, Founder & CEO, Greif & Co.**

*Preparing your business for sale*

Outcomes:
- Assess when you should start thinking about an exit for your business
- Understand what factors will increase your exit valuation
Week 14
November 20

No class. Field study preparing your Rules of the Road.

Week 15
November 27

<table>
<thead>
<tr>
<th>Class Discussion</th>
<th>Paper(s) Due</th>
<th>Student Presentations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Topic 12 Post-Class</td>
<td>Topic 12 Post-Class</td>
<td>Topic 12 Post-Class</td>
</tr>
<tr>
<td>Course Insights/Wrap-up</td>
<td>Rules of the Road</td>
<td>Rules of the Road</td>
</tr>
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</table>
# APPENDIX

## MARSHALL GRADUATE PROGRAMS LEARNING GOALS

### How BAEP 553 Contributes to Marshall Graduate Program Learning Goals

<table>
<thead>
<tr>
<th>Marshall Graduate Program Learning Goals</th>
<th>Degree of Emphasis (1=Low, 2=Moderate, 3=High)</th>
<th>BAEP 553 Objectives that support this goal</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Learning Goal #1:</strong> Our graduates will be impactful leaders who lead with integrity and purpose.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1.1 Possess a clear framework and commitment to an organization’s culture and core values, with personal integrity.</td>
<td>3</td>
<td>X</td>
</tr>
<tr>
<td>1.2 Transcend traditional boundaries with a global mindset, drawing value from diversity and inclusion, and fostering community within and outside of organizations.</td>
<td>2</td>
<td>X</td>
</tr>
<tr>
<td>1.3 Exhibit exceptional self-awareness through understanding of personal and career goals; awareness of individual strengths and weaknesses; and engagement in personalized, goal-driven, and lifelong learning.</td>
<td>3</td>
<td>X</td>
</tr>
<tr>
<td><strong>Learning Goal #2:</strong> Our graduates will be impactful leaders who help identify and execute opportunities in uncertain and complex business environments.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.1 Apply an integrated approach to understanding and analyzing significant business problems, which can be complex, messy, unstructured, and beyond formulaic analysis.</td>
<td>3</td>
<td>X</td>
</tr>
<tr>
<td>2.2 Use critical and analytical thinking to identify viable solutions that can create short-term and long-term value for organizations.</td>
<td>3</td>
<td>X</td>
</tr>
<tr>
<td>2.3 Devise creative, sustainable, and achievable strategies and solutions that allow organizations to take advantage of opportunities that create value for its stakeholders.</td>
<td>2</td>
<td>X</td>
</tr>
<tr>
<td><strong>Learning Goal #3:</strong> Our graduates will be impactful leaders who achieve results by fostering collaboration on interpersonal, team, and organization levels.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3.1 Influence and motivate and work with colleagues, partners, and other stakeholders to achieve organizational purposes</td>
<td>3</td>
<td>X</td>
</tr>
<tr>
<td>3.2 Help build and sustain high-performing teams by infusing teams with a variety of perspectives, talents, and skills and aligning individual success with team success and with overall organizational success</td>
<td>3</td>
<td>X</td>
</tr>
<tr>
<td>3.3 Lead and participate in helping organizations adapt to a changing business landscape.</td>
<td>3</td>
<td>X</td>
</tr>
</tbody>
</table>