

**Registering for this course  
DOES NOT REGISTER YOU FOR  
THE CFA INSTITUTE EXAM!**  
Exam info: <http://www.cfainstitute.org>

**D-Clearance and Prerequisite Waiver  
for FBE 571, 572, or 573 for Spring 2015**

Return to: **BRI-308**  
or fax to: **(213) 740-6650**  
or email: **tlichvar@usc.edu**

PLEASE PRINT clearly or type. Thanks!

Name: \_\_\_\_\_ USC Student ID#: \_\_\_\_\_

Phone #: \_\_\_\_\_ Email: \_\_\_\_\_

*Please use an email address you actually check regularly!*

Please indicate student status:  MBA Core  MBA-PM  OC-MBA  IBEAR  \_\_\_\_\_  
(mark all that apply)  1<sup>st</sup> year  2<sup>nd</sup> year  3<sup>rd</sup> year  4<sup>th</sup> year  5<sup>th</sup> year  6<sup>th</sup> year

Please indicate your current GPA: \_\_\_\_\_

*No minimum GPA required. However, IF your GPA is below 3.0, you may wish to reconsider taking this course. CREDIT in this course will NOT help raise your GPA to the min 3.0 required to graduate!!*

‡ Do you expect to GRADUATE in 2015?  yes — taking this class MAY result in a delay in graduating ‡  
if yes, what month? \_\_\_\_\_  no

Have you taken either prerequisite course?: **GSBA-521**  yes  no or **GSBA-548**  yes  no

Are you planning to take the CFA Institute CFA exam June 6, 2015?  yes - Level \_\_\_\_\_  no  
You are **NOT** required to take the CFA exam to register for these survey courses. We encourage non-CFA MBA students to enroll!

**I wish to register for: select ONE only All courses are 3 units each and are graded CREDIT / NO CREDIT only.**

- FBE-571** – Introduction to Financial Analysis (CFA I) Tuesday 5:30 pm - 8:30 pm 1/13/15- 5/9/15 **Class# 15466**
- FBE-572** – Intermediate Financial Analysis (CFA II) Saturday\* 9:00 am - 4:00 pm 1/31/15 - 5/9/15 **Class# 15467**
- FBE-573** – Advanced Financial Analysis (CFA III) Saturday\* 9:00 am - 1:30 pm 1/31/15 - 5/9/15 **Class# 15468**

\* The Saturday classes do NOT meet every week. Consequently there are TWO LECTURES on those dates when class is held. Class schedules: <http://www.cfalacfareview.org>

**Credit is received if you (i) take the USC final, and (ii) pass EITHER:**

- (A) The USC administered and graded Final Exam, given **May 9, 2015, 2:00-4:00pm, in HOH-2.** OR  
(B) The CFA Institute administered and graded CFA Exam, given on Saturday, June 6, 2015.

*All USC registered students are **required** to take the USC Final Exam whether or not they plan to take the CFA exam.*

**By signing my name below I acknowledge that I have read and understand the following:**

- 1) This class is 3 units, graded CREDIT / NO CREDIT.
- 2) You may count only ONE of the three courses as an elective toward a full-time or PM MBA **only**. Other students must obtain prior approval from their academic department or program to ensure these units can be applied toward their degree. **If you don't need or want the units, ask Terry about alternatives to USC registration.**
- 3) ALL students enrolled for USC credit are REQUIRED to take the **USC Final Exam, May 9, 2015, from 2:00-4:00pm, in HOH-2.** You will NOT receive credit for the course if you do not take the USC Final exam, even if you subsequently pass the CFA Institute Exam!!
- ‡ 4) **If you pass the USC final exam, your graduation will NOT be delayed. IF you DO NOT PASS the USC final exam and you are scheduled to graduate in May 2015, failing the final exam WILL DELAY YOUR GRADUATION UNTIL AT LEAST DECEMBER, 2015.** It will delay your graduation even further if you also do not pass the CFA Institute exam.
- 5) CREDIT is assigned if the student takes the USC final exam, AND passes either of two exams (A) or (B) as explained above. You MUST sit for the USC final exam to receive credit!!! Credit is automatically assigned if the student passes the USC final exam. **If you fail the final exam but pass the CFA Institute exam, to receive CREDIT after passing the CFA Institute exam, students must FAX a COPY of their pass letter + USC Student ID number to USC FBE at (213) 740-6650 BY Oct. 1, 2015.**
- 6) Processing of this form only **GRANTS PERMISSION to register and WAIVES THE PREREQUISITE if needed.** ALL students **MUST REGISTER THEMSELVES** IN FBE-571, 572, or 573 via web registration prior to Jan. 12, 2015 to avoid a USC late fee or prior to Jan. 30, 2015 with a late fee. **Late fees are the responsibility of the student.**
- 7) Registering for this course **DOES NOT REGISTER YOU for the CFA Institute exam.** Exam info: [www.cfainstitute.org](http://www.cfainstitute.org)

Student Comments: \_\_\_\_\_ *continue comments on the back if necessary →*

Signature: \_\_\_\_\_

Date: \_\_\_\_\_ *Please keep a copy for your own records.  
You are responsible for knowing #1-7.  
version 1 - 1/6/2015*

FOR OFFICIAL USE ONLY

- Granted  
 Waive Prerequisite  
 GPA counseling  
 Denied

\_\_\_\_\_  
*Terry Lichvar, FBE Program Admin.*

Date: \_\_\_\_\_

## FBE-571, 572, & 573 information for USC Students

The Finance and Business Economics Department offers three cross-disciplinary courses which incorporate the CFA Institute Chartered Financial Analyst (CFA®) body of knowledge into the course curriculum: **FBE-571** (Level I, Fall & Spring), **FBE-572** (Level II, Spring only), and **FBE-573** (Level III, Spring only).

**However, you do NOT have to sit for the CFA Institute exam to take and receive credit for these courses.** They are very comprehensive **team-taught survey courses** that would be of benefit to any MBA student. *Topics include: economics, quantitative methods, financial statement analysis (accounting), corporate finance, markets and tools, equity valuation and analysis, fixed income analysis, derivatives, capital market theory, portfolio management, alternative investments, and ethics.*

**\*\*\*\* You are NOT required to register through USC to take these review classes. \*\*\*\***

If you don't want or need USC credit (*or if you know someone who is not a USC student*), you can register for any of these three courses on a NON-CREDIT basis through our partner and co-sponsor, CFALA.

**Registering for any of these courses does NOT register you to sit for the CFA Institute CFA® examination!** Registration for the CFA Institute exam is done on their website at: <http://www.cfainstitute.org>

**There are scholarships available** to pay most of the CFA Institute examination fee (Access Scholarship or Program Partner scholarship) and the USC/CFALA review course non-credit registration fee (Access Scholarship ONLY). **Basic scholarship information is listed on page 4.**

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**FBE-571:** *Subject to sufficient enrollment*, FBE-571 will be offered in two sections, Tuesday evenings and Saturday mornings. The same lecture will be given live twice. **No matter which section you register for, you may attend whichever lecture section is most convenient for you in any given week.** However, we only have a class number for the Tuesday evening section (class# 15466). *In general, the Saturday Level I will not meet every week.* Consequently, two lectures (9am-12pm & 1pm-4pm) will be taught on those Saturdays when class is scheduled to meet. **First Lectures: Sat. Jan. 10, 2015 and Tues. Jan. 13, 2015.**

**FBE-572** will be offered only on Saturday mornings. In general, Level II will not meet every week. Consequently, two lectures (9am-12pm & 1pm-4pm) will be taught on those Saturdays when class is scheduled to meet. (class# 15467). **First Lecture: Sat. Jan. 31, 2015.**

**FBE-573** will be offered only on Saturday mornings. In general, Level III will not meet every week. Consequently, two lectures (9am-11am & 11:30am-1:30pm) will be taught on those Saturdays when class is scheduled to meet. (class# 15468). **First Lecture: Sat. Jan. 31, 2015.**

**In general, lectures meet for 3 hours (Level 1 & 2) or 2 hours (Level 3).** All 3 levels will be recorded, with streaming media replays available 24/7 via the internet.

Lectures may BEGIN BEFORE or AFTER the start of the regular semester and/or END AFTER the end of the regular semester. Taking one of these classes for credit during your last semester before graduation MAY DELAY YOUR GRADUATION if you don't pass the USC final exam. **All dates subject to change!!!**

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### REGISTRATION OPTIONS:

- 1) **USC:** register for **FBE-571, FBE-572, or FBE-573.** Each course is a 3-unit MBA & MSFin elective class.
- 2) **CFALA:** *NO USC credit!!*

**Register through USC or CFALA - - NOT both!!**

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## USC CREDIT REGISTRATION

You need a "D-clearance" to register for FBE-571/2/3. You can obtain the Spring 2015 D-clearance and Prerequisite Waiver form from the FBE Office, BRI-308, 213-740-6515, via email from Terry Lichvar at [tlichvar@usc.edu](mailto:tlichvar@usc.edu), or from the Schedule of Classes, where it has been posted in lieu of the Syllabus.

Please fill it out CLEARLY (*I can't help you if I can't read it!*), SIGN IT, and fax it back (213-740-6650), or email a scanned copy to [tlichvar@usc.edu](mailto:tlichvar@usc.edu), or drop it off at BRI-308. No cover page is needed for a fax.

Your request will be evaluated and your enrollment will be approved or denied. If approved, your name, student ID number, and email address will be forwarded to the Marshall Registrar. After your D-clearance (*and pre-reg waiver if needed*) has been entered into the USC registration computer system, the Marshall registrar's office will email you, telling you that you now have permission to register for the appropriate course. *MBA & MSFin students with a GPA of 3.0 or better will be approved immediately. GPA's below 3.0 will require "GPA counseling" prior to approval. Students in other degree programs will be contacted by phone prior to approval.*

**IT'S YOUR RESPONSIBILITY TO FOLLOW THROUGH and REGISTER YOURSELF FOR THE CLASS.**

Some items to note:

**1) REGISTRATION:** Submitting the "Permission to Register" form *DOES NOT register you in the course, nor does it obligate you to register for the course.* It only grants you permission to register. **Once granted permission, YOU MUST REGISTER YOURSELF via USC's regular on-line registration process if you want to be in the class.** Webreg URL: <https://www.usc.edu/webregistration>

***These classes do not close, so there is NO NEED to BID on them!!***

If you are a **NON-BUSINESS graduate student**, you also need to obtain a **Non-Business Student Permission to Register form** from the Marshall School Graduate Registrar's office in Hoffman Hall (HOH), room 103. **You'll need the professor's signature on that form!!** *Once you've obtained the professor's signature, return the form to HOH-103 to register for the class.*

**2) UNDERGRADUATE REGISTRATION:** Unfortunately, undergraduate students are no longer permitted to register for MBA courses, even as a Auditor. *To attend this course, undergraduate students must register through CFALA on a NON-CREDIT basis.* (See CFALA registration information on page 4.)

**3) COST:** This is a standard 3 unit course. The cost to you is 3 units of tuition. ***Late registrations are subject to USC late fees.*** **ALL LATE FEES ARE THE RESPONSIBILITY OF THE STUDENT!**

**4) CFA Institute EXAM:** ***Registering for FBE-571/2/3 does NOT register you for the CFA exam!!!!*** Institute exam registration is SEPARATE. Go to: <http://www.cfainstitute.org/cfaprogram>

**5) COURSE ADMINISTRATION:** Course administration (*lecture schedules, class handouts, links to streaming media recordings, and all class change notifications*) are managed through the **USC/CFALA CFA Review Program website - - NOT BLACKBOARD.** <http://www.cfalacfareview.org>

**6) CFALA LOGIN:** After you register via webreg, your contact information will be provided to CFALA as a "USC registrant". CFALA will email to you a CFA® Review Program login for their website. **You will use the CFALA login to access the USC/CFALA CFA® Review Program website for materials.**

**7) LECTURE SCHEDULES:** Detailed lecture schedules are available from the CFALA website. Click on the **Class Schedules** link in the dark blue bar near the top, just under the CFALA logo: <http://www.cfalacfareview.org>

**LECTURE SCHEDULES (continued):** *The schedules are SUBJECT TO CHANGE (including lecture locations!!); CHECK IT EACH WEEK before you come to class!*

- 8) COURSE HANDOUTS:** All lecture handouts are available from the CFALA website. WE DO NOT PROVIDE COPIES OF THE HANDOUTS. You must download and bring your own handouts to lectures!!
- 9) STREAMING MEDIA:** We will be recording the lectures for all 3 courses. These recordings will be available 24/7 via the internet. If you can't attend lectures in person you can still watch them on-line. Access to streaming media lectures is via the CFALA website.
- 10) GRADUATION DELAY?** Taking one of these classes for credit during your last semester before graduation MAY DELAY YOUR GRADUATION if you don't pass the USC final exam. If you plan to graduate in May 2015: if you **pass the USC final exam = NO delay**; if you **fail the USC final = GRADUATION DELAY**; if you **don't take the USC final = fail the final = GRADUATION DELAY**.
- 11) GRADING:** These courses are **graded CREDIT/NO CREDIT** only. (Yes, *ONE course counts as 3 elective units toward your Core or PM MBA or MS Finance degree\**) These **units are NOT pre-approved for credit toward any other USC grad or undergrad degree!** *If you are not a Core, PM, or MSFin student, check with your academic unit BEFORE YOU REGISTER to determine credit options!!*
- 12) GETTING CREDIT:** There are no graded homework or midterms, nor is there any participation or attendance requirement. **To receive a grade of CREDIT in these courses, you must (A) take the USC final exam, AND (B) pass one of two exams: (1) the USC final exam or (2) the CFA® Institute exam** given at the end of the semester in which you were registered for the class.
- 13) \* ELECTIVE CREDIT:** You can only count **ONE** of the three classes as an elective toward your **Core or PM MBA or MS Finance degree**. You can still register for the other courses through USC, but they will NOT count toward your degree. (*i.e.: if you have a scholarship or if your company will pay if you register through USC but not if you register through CFALA.*)
- 14) FINAL EXAM: FINAL EXAM:** **Sat. May 9, 2015 from 2:00pm - 4:00pm in HOH-2.** This is a regular 2-hour final exam administered during the USC finals period. It will only include material covered in the course lectures completed prior to finals (there may be additional lectures AFTER the final exam). **THIS EXAM DETERMINES WHETHER OR NOT YOU RECEIVE CREDIT FOR THE COURSE!! Attending the final exam is MANDATORY. Not taking the final will result in a grade of NO CREDIT for the course even if you subsequently take and pass the CFA® Institute examination.** The final exam is NOT the practice exam listed on the lecture schedule. Confirmation regarding the day, time, and location of the final exam will be emailed to all students approx. one month before the final exam is given.
- 15) PRACTICE EXAM: A value-added feature of the review program.** At the end of the course, a full-length (6 hour) practice exam is given. This practice exam is optional and has NO BEARING on course grading. *However, it does a good job of assisting candidates with preparing for the CFA Institute exam!*
- 16) SYLLABUS:** There is **no syllabus** for the course. The lecture schedule is available on-line, and it specifies the readings (study session as listed in the Curriculum) required for each lecture.
- 17) TEXT:** The text is the CFA Institute **Curriculum** that you receive when you register for the exam. IF you are NOT planning to register for the CFA Institute exam contact Terry Lichvar (BRI-308, tlichvar@usc.edu or 213.740.6522). She will send you the Curriculum order form to submit to the CFA Institute. You may purchase a printed OR electronic copy of the Curriculum. **DO NOT purchase used Curriculum materials via the internet!!** The materials for each Spring exam are **NEW** and no "used" version exists. **One set of Curriculum materials for each class will be placed on 2-hour reserve in Crocker Library.** It must be used within the library.

**18) SCHWESER: A value-added feature of this review program.** If you register for FBE-571/2/3 and do not drop the course before the end of the drop and add period, you will receive an electronic copy of the appropriate level Schweser self-study notes and access to their on-line testing at no additional charge. *DO NOT buy these from Schweser as there will be no tuition discount and Schweser has a VERY strict refund policy. If you drop the class before Jan. 31, your access to all SCHWESER materials will be cut off.*

**19) VALUE-ADDED PROGRAM OFFERINGS:** USC registered students are eligible to participate in all of the value-added features of the review program, including the Candidate Connection, full length practice exam, Schweser materials, & (Level 1 only) CFA Lab tutoring, Mentor Match, and Accounting Essentials classes.

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## **NON-CREDIT REGISTRATION through CFALA** - <http://www.cfalacfareview.org>

If you are an undergraduate student who is ineligible to register for MBA classes, a non-Marshall grad student who cannot use these courses for USC credit, a Marshall grad student who does not want USC credit, or someone who is taking the CFA exam but is not a USC student, you can register for any of these 3 courses at the website of our co-sponsor, the **CFA Society of Los Angeles (CFALA)** at <http://www.cfalacfareview.org>

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**1) REGISTRATION PROCESS:** Registration is done on-line or via a printable registration form available at the CFALA CFA Review program website. <http://www.cfalacfareview.org>

**2) COST: The CFALA REGISTRATION FEE is \$1,499.** There are discounts available for CFALA members and/or early enrollment. ***You cannot use tuition remission or your USC Card to pay the CFALA registration fee.*** CFALA accepts Visa, MasterCard, American Express, or check. ***NOTE: A portion of this fee is NON-REFUNDABLE!! See the registration form/website for details.***

**3) The course will NOT show up on your USC transcript and you CANNOT retroactively obtain USC credit for the course.**

**4) SCHWESER:** Registration in this program INCLUDES a copy of the Schweser self-study notes, and access to their on-line testing at no additional charge. **DON'T buy them from Schweser!!!!**

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## **SCHOLARSHIPS:**

**Access Scholarships:** Access Scholarships provide a needs-based scholarship opportunity for those unable to afford the full price of the CFA Program enrollment and registration fees. *If you receive an "Access" scholarship from CFALA, then you MUST register for the course through CFALA.* The application deadline for this scholarship is AUGUST 31 for the following Fall and Spring exams. ***(Deadline has passed for Spr. 2015.)***

**Program Partner (Student) Awareness Scholarships:** Available to Level I, II, & III student candidates. Covers the CFA<sup>®</sup> Institute Exam fees less the cost of the Curriculum. Because there are a limited number of scholarships and a lot of possible recipients, **we've implemented an application process for the Program Partner Scholarships.** The form and instructions are available from BRI-308, via email from [tlichvar@usc.edu](mailto:tlichvar@usc.edu), and posted as part of the "syllabus" document for FBE-571/2/3 on the USC Schedule of Classes. ***You do NOT need to participate in the USC/CFALA CFA Review Program to receive a Program Partner Student scholarship.***  
**STUDENT SCHOLARSHIP APPLICATION DEADLINE: received by 5:00 pm, Tue. Jan. 27, 2015.**

**For additional information about USC registration or Program Partner (Student) Awareness scholarships, please contact:**

**Terry Lichvar**

Program Administrator  
University of Southern California  
Finance and Business Economics Department  
3670 Trousdale Parkway, Ste. 308  
BRI-308, MC-0804  
Los Angeles, CA 90089-0804  
213-740-6522  
213-740-6650 fax  
tlichvar@usc.edu

**For advice regarding pursuing the CFA Charter, please consult with:**

**Dr. Larry Harris, CFA**

University of Southern California  
Finance and Business Economics Department  
Office: HOH-806  
213-740-6496  
lharris@usc.edu

**For additional information about CFALA registration or Access scholarships, please contact:**

**Diana Ravel**

Administrator  
CFA Society of Los Angeles, Inc.  
520 South Grand Avenue, Ste 370  
Los Angeles, CA 90071  
213-613-1503 x702  
213-613-1233 (fax)  
www.cfala.org  
cfareview@cfala.org



# Instructions for CFA Institute Program Partner Student (Awareness) Scholarship Application for the June 2015 exam

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**USC FBE SUBMISSION DEADLINE: 5:00 pm, Tuesday, Jan. 27, 2015**

## **STUDENT ELIGIBILITY:**

Awareness scholarships are available to **all** currently enrolled USC student candidates. Applicants must be full-time undergraduate students in their final year or full- or part-time graduate students at USC, and must fulfill all CFA candidate requirements to enroll for the June 6, 2015 Level I, II, or III exam.

## **SUBMISSION INSTRUCTIONS:**

- 1) Fill out the top half of the Program Partner Student Scholarship Verification Form (on-screen or print legibly), print out, sign and date where indicated. A PDF form that can be typed on-screen is available from the CFA Institute website at: [http://www.cfainstitute.org/Forms/partner\\_scholarship\\_dec.pdf](http://www.cfainstitute.org/Forms/partner_scholarship_dec.pdf)
- 2) Write a (maximum) one page statement describing why you are pursuing the CFA Charter, and how the scholarship will help you achieve your goal.
- 3) Submit **(1) a copy of your current resume**, **(2) the signed Verification form**, and **(3) your one page statement** via one of the submission methods listed below.

**Submissions will be accepted by email, fax, mail, or in person.** *Complete application packets must be RECEIVED prior to the submission deadline listed above. Incomplete application packets will not be considered.*

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**Email:** fbe@marshall.usc.edu  
subject line: CFA Student Scholarship application - list your name here

**Fax:** ATTENTION: Terry Lichvar  
Fax number: 213-740-6650  
*Please use a cover sheet to indicate that you are submitting your Student Scholarship application.*

**Mail:** Terry Lichvar  
USC FBE Dept.  
BRI-308, MC-0804  
Los Angeles, CA 90089-0804

**In Person:** Terry Lichvar, at the FBE Dept. office in BRI-308  
*The FBE Department office is open continuously from 7:30 am - 5:00 pm Mon-Fri. You can give your application packet to any FBE staff member and they will place it in Ms. Lichvar's mail box.*

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**Dr. Harris will evaluate all of the applications and select the scholarship recipients. Dr. Harris considers scholarship, dedication, service, and need when choosing scholarship recipients.** Terry Lichvar will then notify each applicant of their recipient status via email. *Terry will return completed and faculty signed Scholarship Verification forms to scholarship recipients no later than Jan. 30, 2015.* This will allow each recipient sufficient time to transmit his or her completed Verification form to the CFA Institute before the Institute's Feb. 2, 2015 submission deadline.

**Submission of the completed Verification forms to the CFA Institute before their Feb. 2 submission deadline is the responsibility of the scholarship recipient.** *The FBE Department will not be responsible for scholarships that are not awarded due to late submissions to the CFA Institute.* If requested, the FBE Dept. email the Verification form to the CFA Institute on behalf of the scholarship recipient, and provide the recipient with proof of submission.

# CFA Program Partners CFA Institute Student Scholarship

## Verification Form–June 2015 Exam

This form must be submitted no later than **02 February 2015**. You and the Program Director must complete the below information and sign where indicated. Once your scholarship has been processed and approved, you will receive further instructions by email within four (4) weeks. **A valid international travel passport is required for CFA Program enrollment/registration.**

Return form to CFA Institute University Relations: email to [university@cfainstitute.org](mailto:university@cfainstitute.org)

**SCHOLARSHIP FEE: US\$350 (includes the eBook curriculum only) NOTE: the printed curriculum is available separately for an additional cost of US\$150 plus any fees associated with shipping such as import duties, customs fees, shipping fees and/or taxes.**

Complete Candidate Section by typing into the editable form fields

### Candidate Completes Following

|  |    |   |                        |      |   |                                    |       |         |      |
|--|----|---|------------------------|------|---|------------------------------------|-------|---------|------|
| CFA INSTITUTE IDENTIFICATION # (IF APPLICABLE)   |    | DATE OF BIRTH (DAY/MONTH/YEAR)  |                        |      |   |                                    |       |         |      |
|  |    | MR.   | MISS                   | MS.  | MRS.  | DR.                                | PROF. | REV.    | HON. |
| NAME OF COLLEGE/UNIVERSITY   |    | PREFIX: (CHECK ONE)   |                        |      |   |                                    |       |         |      |
| <b>IMPORTANT: YOUR NAME ON CFA INSTITUTE RECORDS MUST BE THE SAME AS THE NAME ON YOUR INTERNATIONAL TRAVEL PASSPORT.</b> |    |   |                        |      |   |                                    |       |         |      |
| FIRST (GIVEN) NAME   |    |   | MIDDLE NAME OR INITIAL |      |   | LAST NAME (SURNAME OR FAMILY NAME) |       |         |      |
| MAILING ADDRESS  |    |   |                        | CITY |   | STATE                              |       | COUNTRY |      |
| E-MAIL ADDRESS (MUST BE LEGIBLE TO ALLOW RECEIPT OF IMPORTANT COMMUNICATIONS)  |    |   |                        |      | TELEPHONE NUMBER (INCLUDE COUNTRY CODE, AREA OR CITY CODE, AND LOCAL) |                                    |       |         |      |
| Yes  | No | I certify that I am a full-time undergraduate student in my final year of study or a full- or part-time graduate student and certify that I meet the Entrance Requirements for the CFA Program. My Program Director is sponsoring me for a scholarship. |                        |      |   |                                    |       |         |      |
| Yes  | No | I certify that I have reviewed and will adhere to the Official Rules for the Scholarship Program on the CFA Institute website.  |                        |      |   |                                    |       |         |      |
| SIGNATURE  |    |   |                        |      |   | DATE (DAY/MONTH/YEAR)              |       |         |      |

### Program Director Completes Following

|   |  |  |  |      |   |                       |  |         |  |
|---|--|--|--|------|---|-----------------------|--|---------|--|
| PROGRAM DIRECTOR'S NAME   |  |  |  |      |   |                       |  |         |  |
| CFA INSTITUTE IDENTIFICATION # (IF APPLICABLE)  |  |  |  |      |   |                       |  |         |  |
| NAME OF COLLEGE/UNIVERSITY  |  |  |  |      |   |                       |  |         |  |
| COLLEGE/UNIVERSITY MAILING ADDRESS  |  |  |  | CITY |   | STATE                 |  | COUNTRY |  |
| E-MAIL ADDRESS (MUST BE LEGIBLE TO ALLOW RECEIPT OF IMPORTANT COMMUNICATIONS)   |  |  |  |      | TELEPHONE NUMBER (INCLUDE COUNTRY CODE, AREA OR CITY CODE, AND LOCAL) |                       |  |         |  |
| I certify that I am the Program Director of the CFA Program Partnership for my university and that my university is a current CFA Program Partner University as defined on the CFA Institute website. |  |  |  |      |   |                       |  |         |  |
| PROGRAM DIRECTOR'S SIGNATURE  |  |  |  |      |   | DATE (DAY/MONTH/YEAR) |  |         |  |

**Plan early!** CFA Institute will not accept forms received after **2 February 2015**.

For the current exam offering, the CFA Program enrollment fee (if applicable) is waived. Scholarship applicants pay a discounted registration fee that includes the e-book curriculum. Scholarships cannot be deferred to another exam offering.

#### OFFICE USE ONLY

Prepaid     Will register online     Refund due    Offer Code: SCHP \_\_\_\_\_

Date: \_\_\_\_\_ Authorization: \_\_\_\_\_